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Mitochondrial respiratory states and rates: Building blocks of mitochondrial physiology Part 1

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Updates and discussion:

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133 **Abstract** As the knowledge base and importance of mitochondrial physiology to human health expands,
134 the necessity for harmonizing the terminology concerning mitochondrial respiratory states and rates has
135 become increasingly apparent. The chemiosmotic theory establishes the mechanism of energy
136 transformation and coupling in oxidative phosphorylation. The unifying concept of the protonmotive
137 force provides the framework for developing a consistent theoretical foundation of mitochondrial
138 physiology and bioenergetics. We follow IUPAC guidelines on terminology in physical chemistry,
139 extended by considerations of open systems and thermodynamics of irreversible processes. The concept-
140 driven constructive terminology incorporates the meaning of each quantity and aligns concepts and
141 symbols with the nomenclature of classical bioenergetics. We endeavour to provide a balanced view of
142 mitochondrial respiratory control and a critical discussion on reporting data of mitochondrial respiration
143 in terms of metabolic flows and fluxes. Uniform standards for evaluation of respiratory states and rates
144 will ultimately contribute to reproducibility between laboratories and thus support the development of
145 databases of mitochondrial respiratory function in species, tissues, and cells. Clarity of concept and
146 consistency of nomenclature facilitate effective transdisciplinary communication, education, and
147 ultimately further discovery.
148

149 *Keywords:* Mitochondrial respiratory control, coupling control, mitochondrial preparations,
150 protonmotive force, uncoupling, oxidative phosphorylation: OXPHOS, efficiency, electron transfer: ET,
151 electron transfer system: ETS, proton leak, ion leak and slip compensatory state: LEAK, residual oxygen
152 consumption: ROX, State 2, State 3, State 4, normalization, flow, flux, oxygen: O₂
153

154 **Executive summary**

155

156 In view of the broad implications for health care, mitochondrial researchers face an increasing
157 responsibility to disseminate their fundamental knowledge and novel discoveries to a wide range of
158 stakeholders and scientists beyond the group of specialists. This requires implementation of a commonly
159 accepted terminology within the discipline and standardization in the translational context. Authors,
160 reviewers, journal editors, and lecturers are challenged to collaborate with the aim to harmonize the
161 nomenclature in the growing field of mitochondrial physiology and bioenergetics, from evolutionary
162 biology and comparative physiology to mitochondrial medicine. In the present communication we focus
163 on the following concepts in mitochondrial physiology:

- 164 1. Aerobic respiration depends on the coupling of phosphorylation (ADP → ATP) to O₂ flux in
165 catabolic reactions. Coupling in oxidative phosphorylation is mediated by the translocation of
166 protons across the mitochondrial inner membrane (mtIM) through proton pumps generating
167 or utilizing the protonmotive force that is maintained between the mitochondrial matrix and
168 intermembrane compartment or outer mitochondrial space. Compartmental coupling
169 distinguishes this vectorial component of oxidative phosphorylation from glycolytic
170 fermentation as the counterpart of cellular core energy metabolism (**Figure 1**). Cell respiration
171 is distinguished from fermentation: (1) Electron acceptors are supplied by external respiration
172 for the maintenance of redox balance, whereas fermentation is characterized by an internal
173 electron acceptor produced in intermediary metabolism. In aerobic cell respiration, redox
174 balance is maintained by O₂ as the electron acceptor. (2) Compartmental coupling in vectorial
175 oxidative phosphorylation contrasts to exclusively scalar substrate-level phosphorylation in
176 fermentation.
- 177 2. When measuring mitochondrial metabolism, the contribution of fermentation and other cytosolic
178 interactions must be excluded from analysis by disrupting the barrier function of the plasma
179 membrane. Selective removal or permeabilization of the plasma membrane yields
180 mitochondrial preparations—including isolated mitochondria, tissue and cellular
181 preparations—with structural and functional integrity. Subsequently, extra-mitochondrial
182 concentrations of fuel substrates, ADP, ATP, inorganic phosphate, and cations including H⁺
183 can be controlled to determine mitochondrial function under a set of conditions defined as
184 coupling control states. We strive to incorporate an easily recognized and understood concept-
185 driven terminology of bioenergetics with explicit terms and symbols that define the nature of
186 respiratory states.
- 187 3. Mitochondrial coupling states are defined according to the control of respiratory oxygen flux by
188 the protonmotive force. Capacities of oxidative phosphorylation and electron transfer are

measured at kinetically saturating concentrations of fuel substrates, ADP and inorganic phosphate, and O₂, or at optimal uncoupler concentrations, respectively, in the absence of Complex IV inhibitors such as NO, CO, or H₂S. Respiratory capacity is a measure of the upper boundary of the rate of respiration; it depends on the substrate type undergoing oxidation, and provides reference values for the diagnosis of health and disease, and for evaluation of the effects of Evolutionary background, Age, Gender and sex, Lifestyle and Environment.

Figure 1. Internal and external respiration

Mitochondrial respiration is the oxidation of fuel substrates (electron donors) and reduction of O₂ catalysed by the electron transfer system, ETS: (mt) mitochondrial catabolic respiration; (ce) total cellular O₂ consumption; and (ext) external respiration. All chemical reactions, r , that consume O₂ in the cells of an organism, contribute to cell respiration, J_{rO_2} . In addition to mitochondrial catabolic respiration, O₂ is consumed by:

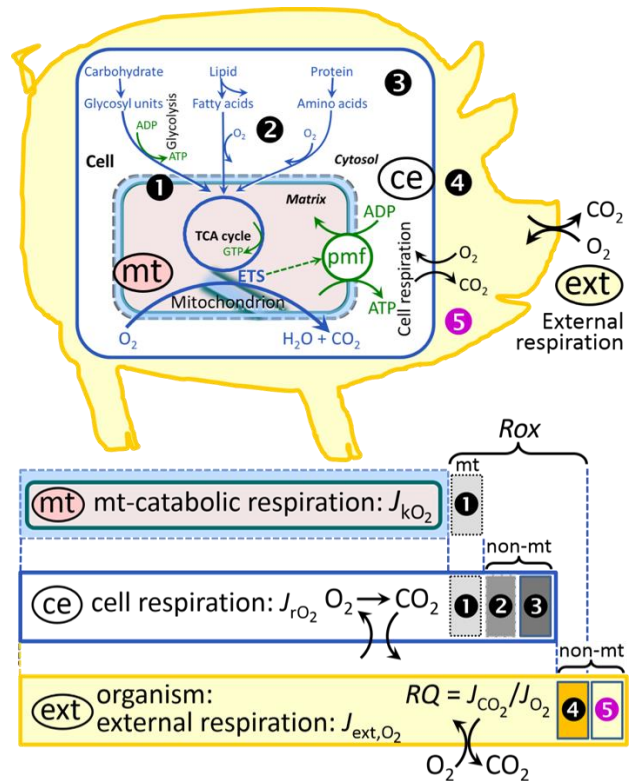
① Mitochondrial residual O₂ consumption, Rox .
 ② Non-mitochondrial O₂ consumption by catabolic reactions, particularly peroxisomal oxidases and microsomal cytochrome P450 systems. ③ Non-mitochondrial Rox by reactions unrelated to catabolism. ④ Extracellular Rox . ⑤ Aerobic microbial respiration. Bars are not at a quantitative scale.

(mt) **Mitochondrial catabolic respiration**, J_{kO_2} , is the O₂ consumption by the mitochondrial ETS excluding Rox .

(ce) **Cell respiration**, J_{rO_2} , takes into account

internal O₂-consuming reactions, r , including catabolic respiration and Rox . Catabolic cell respiration is the O₂ consumption associated with catabolic pathways in the cell, including mitochondrial catabolism in addition to peroxisomal and microsomal oxidation reactions (②).

(ext) **External respiration** balances internal respiration at steady-state, including extracellular Rox (④) and aerobic respiration by the microbiome (⑤). O₂ is transported from the environment across the respiratory cascade, *i.e.*, circulation between tissues and diffusion across cell membranes, to the intracellular compartment. The respiratory quotient, RQ , is the molar CO₂/O₂ exchange ratio; when combined with the respiratory nitrogen quotient, N/O₂ (mol N given off per mol O₂ consumed), the RQ reflects the proportion of carbohydrate, lipid and protein utilized in cell respiration during aerobically balanced steady-states. Bicarbonate and CO₂ are transported in reverse to the extracellular milieu and the organismic environment. Hemoglobin provides the molecular paradigm for the combination of O₂ and CO₂ exchange, as do lungs and gills on the morphological level.



4. Incomplete tightness of coupling, *i.e.*, some degree of uncoupling relative to the substrate-dependent coupling stoichiometry, is a characteristic of energy-transformations across membranes. Uncoupling is caused by a variety of physiological, pathological, toxicological, pharmacological and environmental conditions that exert an influence not only on the proton leak and cation cycling, but also on proton slip within the proton pumps and the structural integrity of the mitochondria. A more loosely coupled state is induced by stimulation of mitochondrial superoxide formation and the bypass of proton pumps. In addition, the use of protonophores represents an experimental uncoupling intervention to assess the transition from a well-coupled to a noncoupled state of mitochondrial respiration.

5. Respiratory oxygen consumption rates have to be carefully normalized to enable meta-analytic studies beyond the question of a particular experiment. Therefore, all raw data on rates and variables for normalization should be published in an open access data repository. Normalization of rates for: (1) the number of objects (cells, organisms); (2) the volume or

245 mass of the experimental sample; and (3) the concentration of mitochondrial markers in the
 246 experimental chamber are sample-specific normalizations, which are distinguished from
 247 system-specific normalization for the volume of the chamber (the measuring system).
 248 6. The consistent use of terms and symbols will facilitate transdisciplinary communication and
 249 support the further development of a collaborative database on bioenergetics and
 250 mitochondrial physiology. The present considerations are focused on studies with
 251 mitochondrial preparations. These will be extended in a series of reports on pathway control
 252 of mitochondrial respiration, respiratory states in intact cells, and harmonization of
 253 experimental procedures.
 254

255 **Box 1: In brief – Mitochondria and Bioblasts**

256 *‘For the physiologist, mitochondria afforded the first opportunity for an experimental*
 257 *approach to structure-function relationships, in particular those involved in active*
 258 *transport, vectorial metabolism, and metabolic control mechanisms on a subcellular level’*
 259 *(Ernster and Schatz 1981).*

260 Mitochondria are oxygen-consuming electrochemical generators that evolved from the endosymbiotic
 261 alphaproteobacteria which became integrated into a host cell related to Asgard Archaea (Margulis 1970;
 262 Lane 2005; Roger *et al.* 2017). They were described by Richard Altmann (1894) as ‘bioblasts’, which
 263 include not only the mitochondria as presently defined, but also symbiotic and free-living bacteria. The
 264 word ‘mitochondria’ (Greek mitos: thread; chondros: granule) was introduced by Carl Benda (1898).

265 Contrary to current textbook dogma, mitochondria form dynamic networks within eukaryotic
 266 cells. Mitochondrial movement is supported by microtubules and morphology can change in response
 267 to energy requirements of the cell via processes known as fusion and fission; these interactions allow
 268 mitochondria to communicate within a network (Chan 2006). Mitochondria can even traverse cell
 269 boundaries in a process known as horizontal mitochondrial transfer (Torralba *et al.* 2016). Another
 270 defining characteristic of mitochondria is the double membrane. The mitochondrial inner membrane
 271 (mtIM) forms dynamic tubular to disk-shaped cristae that separate the mitochondrial matrix, *i.e.*, the
 272 negatively charged internal mitochondrial compartment, from the intermembrane space; the latter being
 273 enclosed by the mitochondrial outer membrane (mtOM) and positively charged with respect to the
 274 matrix. The mtIM contains the non-bilayer phospholipid cardiolipin, which is not present in any other
 275 eukaryotic cellular membrane. Cardiolipin has many regulatory functions (Oemer *et al.* 2018); in
 276 particular, it stabilizes and promotes the formation of respiratory supercomplexes (SC I_nIII_nIV_n), which
 277 are supramolecular assemblies based upon specific and dynamic interactions between individual
 278 respiratory complexes (Greggio *et al.* 2017; Lenaz *et al.* 2017). The mitochondrial membrane is plastic
 279 and exerts an influence on the functional properties of proteins incorporated in membranes
 280 (Waczulikova *et al.* 2007). Intracellular stress factors may cause shrinking or swelling of the
 281 mitochondrial matrix that can ultimately result in permeability transition.

282 Mitochondria are the structural and functional elementary components of cell respiration.
 283 Mitochondrial respiration is the reduction of molecular oxygen by electron transfer coupled to
 284 electrochemical proton translocation across the mtIM. In the process of oxidative phosphorylation
 285 (OXPHOS), the catabolic reaction of oxygen consumption is electrochemically coupled to the
 286 transformation of energy in the form of adenosine triphosphate (ATP; Mitchell 1961, 2011).
 287 Mitochondria are the powerhouses of the cell that contain the machinery of the OXPHOS-pathways,
 288 including transmembrane respiratory complexes (proton pumps with FMN, Fe-S and cytochrome *b*, *c*,
 289 *aa*₃ redox systems); alternative dehydrogenases and oxidases; the coenzyme ubiquinone (Q); F-ATPase
 290 or ATP synthase; the enzymes of the tricarboxylic acid cycle (TCA), fatty acid and amino acid oxidation;
 291 transporters of ions, metabolites and co-factors; iron/sulphur cluster synthesis; and mitochondrial
 292 kinases related to catabolic pathways. The mitochondrial proteome comprises over 1,200 proteins
 293 (Calvo *et al.* 2015; 2017), mostly encoded by nuclear DNA (nDNA), with a variety of functions, many
 294 of which are relatively well known, *e.g.*, proteins regulating mitochondrial biogenesis or apoptosis,
 295 while others are still under investigation, or need to be identified, *e.g.*, permeability transition pore,
 296 alanine transporter. Only recently has it been possible to use the mammalian mitochondrial proteome to
 297 discover and characterize the genetic basis of mitochondrial diseases (Williams *et al.* 2016; Palmfeldt
 298 and Bross 2017).

299 Numerous cellular processes are orchestrated by a constant crosstalk between mitochondria and
 300 other cellular components. For example, the crosstalk between mitochondria and the endoplasmic

301 reticulum is involved in the regulation of calcium homeostasis, cell division, autophagy, differentiation,
302 and anti-viral signaling (Murley and Nunnari 2016). Mitochondria contribute to the formation of
303 peroxisomes, which are hybrids of mitochondrial and ER-derived precursors (Sugiura *et al.* 2017).
304 Cellular mitochondrial homeostasis (mitostasis) is maintained through regulation at transcriptional,
305 post-translational and epigenetic levels. Cell signalling modules contribute to homeostatic regulation
306 throughout the cell cycle or even cell death by activating proteostatic modules, *e.g.*, the ubiquitin-
307 proteasome and autophagy-lysosome/vacuole pathways; specific proteases like LON, and genome
308 stability modules in response to varying energy demands and stress cues (Quiros *et al.* 2016). Several
309 post-translational modifications, including acetylation and nitrosylation, are also capable of influencing
310 the bioenergetic response, with clinically significant implications for health and disease (Carrico *et al.*
311 2018).

312 Mitochondria of higher eukaryotes typically maintain several copies of their own circular genome
313 known as mitochondrial DNA (mtDNA; hundred to thousands per cell; Cummins 1998), which is
314 maternally inherited in humans. Biparental mitochondrial inheritance is documented in mammals, birds,
315 fish, reptiles and invertebrate groups, and is even the norm in some bivalve taxonomic groups (Breton
316 *et al.* 2007; White *et al.* 2008). The mitochondrial genome of the angiosperm *Amborella* contains a
317 record of six mitochondrial genome equivalents acquired by horizontal transfer of entire genomes, two
318 from angiosperms, three from algae and one from mosses (Rice *et al.* 2016). In unicellular organisms,
319 *i.e.*, protists, the structural organization of mitochondrial genomes is highly variable and includes
320 circular and linear DNA (Zikova *et al.* 2016). While some of the free-living flagellates exhibit the largest
321 known gene coding capacity, *e.g.*, jakobid *Andalucia godoyi* mitochondrial DNA codes for 106 genes
322 (Burger *et al.* 2013), some protist groups, *e.g.*, alveolates, possess mitochondrial genomes with only
323 three protein-coding genes and two rRNAs (Feagin *et al.* 2012). The complete loss of mitochondrial
324 genome is observed in highly reduced mitochondria of *Cryptosporidium* species (Liu *et al.* 2016).
325 Reaching the final extreme, the microbial eukaryote, oxymonad *Monocercomonoides*, has no
326 mitochondrion whatsoever and lacks all typical nuclear-encoded mitochondrial proteins, showing that
327 while in 99% of organisms mitochondria play a vital role, this organelle is not indispensable
328 (Karnkowska *et al.* 2016).

329 In vertebrates but not all invertebrates, mtDNA is compact (16.5 kB in humans) and encodes 13
330 protein subunits of the transmembrane respiratory Complexes CI, CIII, CIV and ATP synthase (F-
331 ATPase), 22 tRNAs, and two rRNAs. Additional gene content has been suggested to include microRNAs,
332 piRNA, smithRNAs, repeat associated RNA, and even additional proteins (Duarte *et al.* 2014; Lee *et al.*
333 2015; Cobb *et al.* 2016). The mitochondrial genome requires nuclear-encoded mitochondrially
334 targeted proteins, *e.g.*, TFAM, for its maintenance and expression (Rackham *et al.* 2012). Both genomes
335 encode peptides of the membrane spanning redox pumps (CI, CIII and CIV) and F-ATPase, leading to
336 strong constraints in the coevolution of both genomes (Blier *et al.* 2001).

337 Given the multiple roles of mitochondria, it is perhaps not surprising that mitochondrial
338 dysfunction is associated with a wide variety of genetic and degenerative diseases. Robust mitochondrial
339 function is supported by physical exercise and caloric balance, and is central for sustained metabolic
340 health throughout life. Therefore, a more consistent set of definitions for mitochondrial physiology will
341 increase our understanding of the etiology of disease and improve the diagnostic repertoire of
342 mitochondrial medicine with a focus on protective medicine, lifestyle and healthy aging.

343 Mitochondrion is singular and mitochondria is plural. Abbreviation: mt, as generally used in
344 mtDNA.

345

346

347

348 1. Introduction

349

350 Mitochondria are the powerhouses of the cell with numerous physiological, molecular, and
351 genetic functions (**Box 1**). Every study of mitochondrial health and disease faces **Evolution, Age,**
352 **Gender and sex, Lifestyle, and Environment (MitoEAGLE)** as essential background conditions intrinsic
353 to the individual person or cohort, species, tissue and to some extent even cell line. As a large and
354 coordinated group of laboratories and researchers, the mission of the global MitoEAGLE Network is to
355 generate the necessary scale, type, and quality of consistent data sets and conditions to address this
356 intrinsic complexity. Harmonization of experimental protocols and implementation of a quality control

357 and data management system are required to interrelate results gathered across a spectrum of studies
358 and to generate a rigorously monitored database focused on mitochondrial respiratory function. In this
359 way, researchers from a variety of disciplines can compare their findings using clearly defined and
360 accepted international standards.

361 With an emphasis on quality of research, published data can be useful far beyond the specific
362 question of a particular experiment. For example, collaborative data sets support the development of
363 open-access databases such as those for National Institutes of Health sponsored research in genetics,
364 proteomics, and metabolomics. Indeed, enabling meta-analysis is the most economic way of providing
365 robust answers to biological questions (Cooper *et al.* 2009). However, the reproducibility of quantitative
366 results and databases depend on accurate measurements under strictly-defined conditions. Likewise,
367 meaningful interpretation and comparability of experimental outcomes requires standardisation of
368 protocols between research groups at different institutes. In addition to quality control, a conceptual
369 framework is also required to standardise and homogenise terminology and methodology. Vague or
370 ambiguous jargon can lead to confusion and may convert valuable signals to wasteful noise. For this
371 reason, measured values must be expressed in standard units for each parameter used to define
372 mitochondrial respiratory function. A consensus on fundamental nomenclature and conceptual
373 coherence, however, are missing in the expanding field of mitochondrial physiology. To fill this gap,
374 the present communication provides an in-depth review on harmonization of nomenclature and
375 definition of technical terms, which are essential to improve the awareness of the intricate meaning of
376 current and past scientific vocabulary. This is important for documentation and integration into
377 databases in general, and quantitative modelling in particular (Beard 2005).

378 In this review, we focus on coupling states and fluxes through metabolic pathways of aerobic
379 energy transformation in mitochondrial preparations as a first step in the attempt to generate a
380 conceptually-oriented nomenclature in bioenergetics and mitochondrial physiology. Respiratory control
381 by fuel substrates and specific inhibitors of respiratory enzymes, coupling states of intact cells, and
382 respiratory flux control ratios will be reviewed in subsequent communications, prepared in the frame of
383 COST Action MitoEAGLE open to global bottom-up input.

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386 **2. Coupling states and rates in mitochondrial preparations**

387 *‘Every professional group develops its own technical jargon for talking about matters of critical*
388 *concern ... People who know a word can share that idea with other members of their group, and*
389 *a shared vocabulary is part of the glue that holds people together and allows them to create a*
390 *shared culture’* (Miller 1991).

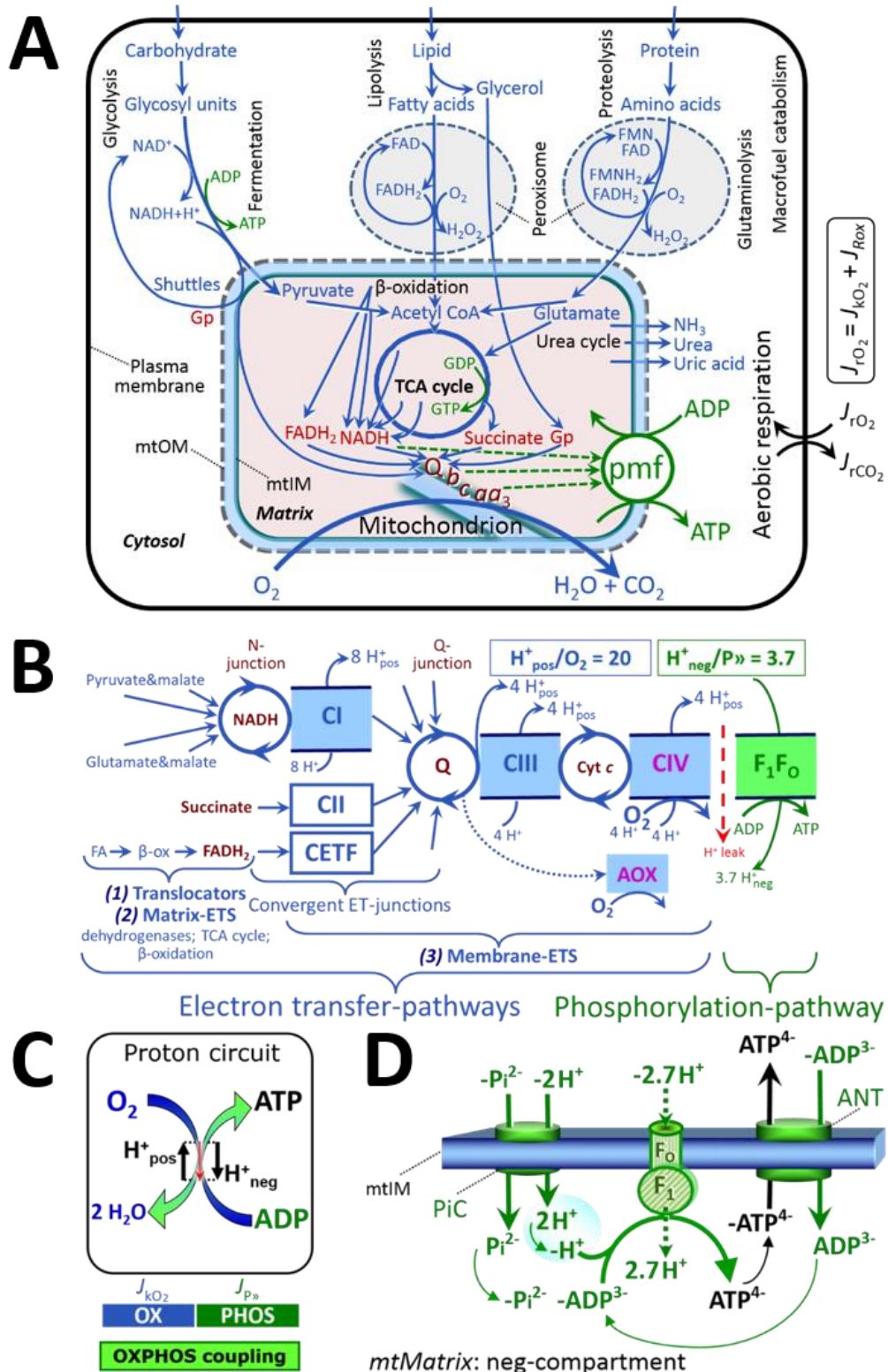
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392 *2.1. Cellular and mitochondrial respiration*

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394 **2.1.1. Aerobic and anaerobic catabolism and ATP turnover:** In respiration, electron transfer
395 is coupled to the phosphorylation of ADP to ATP, with energy transformation mediated by the
396 protonmotive force, pmf (**Figure 2**). Anabolic reactions are coupled to catabolism, both by ATP as the
397 intermediary energy currency and by small organic precursor molecules as building blocks for
398 biosynthesis. Glycolysis involves substrate-level phosphorylation of ADP to ATP in fermentation
399 without utilization of O₂, studied mainly in intact cells and organisms. Many cellular fuel substrates are
400 catabolized to acetyl-CoA or to glutamate, and further electron transfer reduces nicotinamide adenine
401 dinucleotide to NADH or flavin adenine dinucleotide to FADH₂. Subsequent mitochondrial electron
402 transfer to O₂ is coupled to proton translocation for the control of the protonmotive force and
403 phosphorylation of ADP (**Figure 2B and 2C**). In contrast, extra-mitochondrial oxidation of fatty acids
404 and amino acids proceeds partially in peroxisomes without coupling to ATP production: acyl-CoA
405 oxidase catalyzes the oxidation of FADH₂ with electron transfer to O₂; amino acid oxidases oxidize
406 flavin mononucleotide FMNH₂ or FADH₂ (**Figure 2A**).

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418 (B) Respiration in mitochondrial preparations: The mitochondrial electron transfer system
 419 (ETS) is (1) fuelled by diffusion and transport of substrates across the mtOM and mtIM,
 420 and in addition consists of the (2) matrix-ETS, and (3) membrane-ETS. Electron transfer
 421 converges at the N-junction, and from CI, CII and electron transferring flavoprotein
 422 complex (CETF) at the Q-junction. Unlabeled arrows converging at the Q-junction indicate
 423 additional ETS-sections with electron entry into Q through glycerophosphate
 424 dehydrogenase, dihydro-orotate dehydrogenase, proline dehydrogenase, choline
 425 dehydrogenase, and sulfide-ubiquinone oxidoreductase. The dotted arrow indicates the
 426 branched pathway of oxygen consumption by alternative quinol oxidase (AOX). ET-
 427 pathways are coupled to the phosphorylation-pathway. The H^+_{pos}/O_2 ratio is the outward
 428 proton flux from the matrix space to the positively (pos) charged vesicular compartment,
 429 divided by catabolic O_2 flux in the NADH-pathway. The H^+_{neg}/P_{\gg} ratio is the inward proton
 430 flux from the inter-membrane space to the negatively (neg) charged matrix space, divided
 431 by the flux of phosphorylation of ADP to ATP. These stoichiometries are not fixed because
 432 of ion leaks and proton slip. Modified from Lemieux *et al.* (2017) and Rich (2013).
 433 (C) OXPHOS coupling: O_2 flux through the catabolic ET-pathway, J_{kO_2} , is coupled
 434 by the H^+ circuit to flux through the phosphorylation-pathway of ADP to ATP, $J_{P_{\gg}}$.
 435 (D) Chemiosmotic phosphorylation-pathway catalyzed by the proton pump F_1F_0 -ATPase
 436 (F-ATPase, ATP synthase), adenine nucleotide translocase (ANT), and inorganic
 437 phosphate carrier (PiC). The H^+_{neg}/P_{\gg} stoichiometry is the sum of the coupling
 438 stoichiometry in the F-ATPase reaction ($-2.7 H^+_{\text{pos}}$ from the positive intermembrane space,
 439 $2.7 H^+_{\text{neg}}$ to the matrix, *i.e.*, the negative compartment) and the proton balance in the
 440 translocation of ADP^{3-} , ATP^{4-} and P_i^{2-} . Modified from Gnaiger (2014).
 441

442 The plasma membrane separates the intracellular compartment including the cytosol, nucleus, and
 443 organelles from the extracellular environment. The plasma membrane consists of a lipid bilayer with
 444 embedded proteins and attached organic molecules that collectively control the selective permeability
 445 of ions, organic molecules, and particles across the cell boundary. The intact plasma membrane prevents
 446 the passage of many water-soluble mitochondrial substrates and inorganic ions—such as succinate,
 447 adenosine diphosphate (ADP) and inorganic phosphate (P_i) that must be precisely controlled at
 448 kinetically-saturating concentrations for the analysis of mitochondrial respiratory capacities.
 449 Respiratory capacities delineate, comparable to channel capacity in information theory (Schneider
 450 2006), the upper boundary of the rate of O_2 consumption measured in defined respiratory states. Despite
 451 the activity of solute carriers, *e.g.*, SLC13A3 and SLC20A2, which transport specific metabolites across
 452 the plasma membrane of various cell types, the intact plasma membrane limits the scope of
 453 investigations into mitochondrial respiratory function in intact cells.

454 **2.1.2. Specification of biochemical dose:** Substrates, uncouplers, inhibitors, and other chemical
 455 reagents are titrated to analyse cellular and mitochondrial function. Nominal concentrations of these
 456 substances are usually reported as initial amount of substance concentration [$\text{mol}\cdot\text{L}^{-1}$] in the incubation
 457 medium. When aiming at the measurement of kinetically saturated processes—such as OXPHOS-
 458 capacities—the concentrations for substrates can be chosen according to the apparent equilibrium
 459 constant, K_m' . In the case of hyperbolic kinetics, only 80% of maximum respiratory capacity is obtained
 460 at a substrate concentration of four times the K_m' , whereas substrate concentrations of 5, 9, 19 and 49
 461 times the K_m' are theoretically required for reaching 83%, 90%, 95% or 98% of the maximal rate
 462 (Gnaiger 2001). Other reagents are chosen to inhibit or alter a particular process. The amount of these
 463 chemicals in an experimental incubation is selected to maximize effect, avoiding unacceptable off-target
 464 consequences that would adversely affect the data being sought. Specifying the amount of substance in
 465 an incubation as nominal concentration in the aqueous incubation medium can be ambiguous (Doskey
 466 *et al.* 2015), particularly for cations (TPP^+ ; fluorescent dyes such as safranin, TMRM; Chowdhury *et al.*
 467 2015) and lipophilic substances (oligomycin, uncouplers, permeabilization agents; Doerrier *et al.* 2018),
 468 which accumulate in the mitochondrial matrix or in biological membranes, respectively. Generally,
 469 dose/exposure can be specified per unit of biological sample, *i.e.*, (nominal moles of
 470 xenobiotic)/(number of cells) [$\text{mol}\cdot\text{cell}^{-1}$] or, as appropriate, per mass of biological sample [$\text{mol}\cdot\text{kg}^{-1}$].
 471 This approach to specification of dose/exposure provides a scalable parameter that can be used to design
 472 experiments, help interpret a wide variety of experimental results, and provide absolute information that
 473 allows researchers worldwide to make the most use of published data (Doskey *et al.* 2015).

474 2.2. Mitochondrial preparations

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477 Mitochondrial preparations are defined as either isolated mitochondria or tissue and cellular
 478 preparations in which the barrier function of the plasma membrane is disrupted. Since this entails the
 479 loss of cell viability, mitochondrial preparations are not studied *in vivo*. In contrast to isolated
 480 mitochondria and tissue homogenate preparations, mitochondria in permeabilized tissues and cells are
 481 *in situ* relative to the plasma membrane. When studying mitochondrial preparations, substrate-
 482 uncoupler-inhibitor-titration (SUIT) protocols are used to establish respiratory coupling control states
 483 (CCS) and pathway control states (PCS) that provide reference values for various output variables
 484 (**Table 1**). Physiological conditions *in vivo* deviate from these experimentally obtained states; this is
 485 because kinetically-saturating concentrations, *e.g.*, of ADP, oxygen (O₂; dioxygen) or fuel substrates,
 486 may not apply to physiological intracellular conditions. Further information is obtained in studies of
 487 kinetic responses to variations in fuel substrate concentrations, [ADP], or [O₂] in the range between
 488 kinetically-saturating concentrations and anoxia (Gnaiger 2001).

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516 2.3. Electron transfer pathways

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518 Mitochondrial electron transfer (ET) pathways are fuelled by diffusion and transport of substrates
 519 across the mtOM and mtIM. In addition, the mitochondrial electron transfer system (ETS) consists of
 520 the matrix-ETS and membrane-ETS (**Figure 2B**). Upstream sections of ET-pathways converge at the
 521 NADH-junction (N-junction). NADH is mainly generated in the tricarboxylic acid (TCA) cycle and is
 522 oxidized by Complex I (CI), with further electron entry into the coenzyme Q-junction (Q-junction).
 523 Similarly, succinate is formed in the TCA cycle and oxidized by CII to fumarate. CII is part of both the
 524 TCA cycle and the ETS, and reduces FAD to FADH₂ with further reduction of ubiquinone to ubiquinol
 525 downstream of the TCA cycle in the Q-junction. Thus FADH₂ is not a substrate but is the product of
 526 CII, in contrast to erroneous metabolic maps shown in many publications. β -oxidation of fatty acids
 527 (FA) generates FADH₂ as the substrate of electron transferring flavoprotein complex (ETF).

528 Selected mitochondrial catabolic pathways, *k*, of electron transfer from the oxidation of fuel
 529 substrates to the reduction of O₂ are activated by addition of fuel substrates to the mitochondrial

530 respiration medium after depletion of endogenous substrates (**Figure 2B**). Substrate combinations and
 531 specific inhibitors of ET-pathway enzymes are used to obtain defined pathway control states in
 532 mitochondrial preparations (Gnaiger 2014).

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534 2.4. Respiratory coupling control

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536 **2.4.1. Coupling:** In mitochondrial electron transfer, vectorial transmembrane proton flux is
 537 coupled through the redox proton pumps CI, CIII and CIV to the catabolic flux of scalar reactions,
 538 collectively measured as O₂ flux, J_{KO_2} (**Figure 2**). Thus mitochondria are elementary components of
 539 energy transformation. Energy is a conserved quantity and cannot be lost or produced in any internal
 540 process (First Law of Thermodynamics). Open and closed systems can gain or lose energy only by
 541 external fluxes—by exchange with the environment. Therefore, energy can neither be produced by
 542 mitochondria, nor is there any internal process without energy conservation. Exergy or Gibbs energy
 543 (‘free energy’) is the part of energy that can potentially be transformed into work under conditions of
 544 constant temperature and pressure. *Coupling* is the interaction of an exergonic process (spontaneous,
 545 negative exergy change) with an endergonic process (positive exergy change) in energy transformations
 546 which conserve part of the exergy that would be irreversibly lost or dissipated in an uncoupled process.

547 Pathway control states (PCS) and coupling control states (CCS) are complementary, since
 548 mitochondrial preparations depend on (1) an exogenous supply of pathway-specific fuel substrates and
 549 oxygen, and (2) exogenous control of phosphorylation (**Figure 2**).

550 **2.4.2. Phosphorylation, P», and P»/O₂ ratio:** Phosphorylation in the context of OXPHOS is
 551 defined as phosphorylation of ADP by P_i to form ATP. On the other hand, the term phosphorylation is
 552 used generally in many contexts, *e.g.*, protein phosphorylation. This justifies consideration of a symbol
 553 more discriminating and specific than P as used in the P/O ratio (phosphate to atomic oxygen ratio),
 554 where P indicates phosphorylation of ADP to ATP or GDP to GTP (**Figure 2**). We propose the symbol
 555 P» for the endergonic (uphill) direction of phosphorylation ADP→ATP, and likewise the symbol P« for
 556 the corresponding exergonic (downhill) hydrolysis ATP→ADP. P» refers mainly to electrontransfer
 557 phosphorylation but may also involve substrate-level phosphorylation as part of the TCA cycle
 558 (succinyl-CoA ligase, phosphoglycerate kinase) and phosphorylation of ADP catalyzed by pyruvate
 559 kinase, and of GDP phosphorylated by phosphoenolpyruvate carboxykinase. Transphosphorylation is
 560 performed by adenylate kinase, creatine kinase (mtCK), hexokinase and nucleoside diphosphate kinase.
 561 In isolated mammalian mitochondria, ATP production catalyzed by adenylate kinase (2 ADP ↔ ATP +
 562 AMP) proceeds without fuel substrates in the presence of ADP (Komlódi and Tretter 2017). Kinase
 563 cycles are involved in intracellular energy transfer and signal transduction for regulation of energy flux.

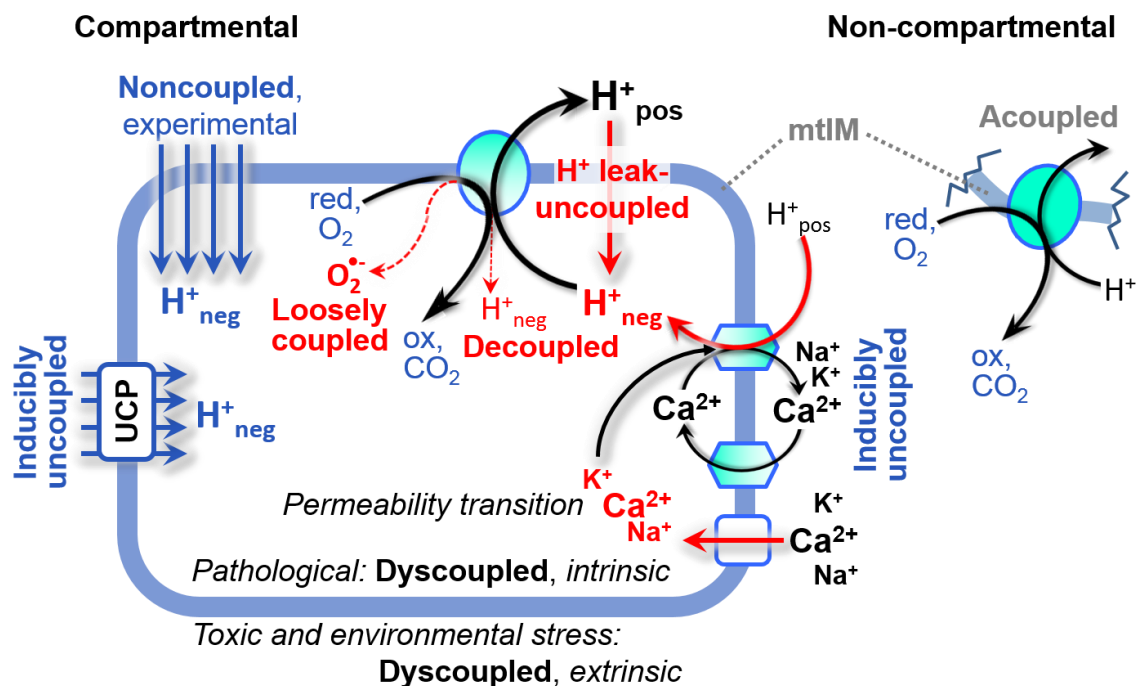
564 The P»/O₂ ratio (P»/4 e⁻) is two times the ‘P/O’ ratio (P»/2 e⁻). P»/O₂ is a generalized symbol, not
 565 specific for reporting P_i consumption (P_i/O₂ flux ratio), ADP depletion (ADP/O₂ flux ratio), or ATP
 566 production (ATP/O₂ flux ratio). The mechanistic P»/O₂ ratio—or P»/O₂ stoichiometry—is calculated
 567 from the proton-to-O₂ and proton-to-phosphorylation coupling stoichiometries (**Figure 2B**):

$$569 \quad \text{P»/O}_2 = \frac{H_{\text{pos/O}_2}^+}{H_{\text{neg/P»}}^+} \quad (1)$$

571 The H⁺_{pos/O₂} coupling stoichiometry (referring to the full four electron reduction of O₂) depends on the
 572 relative involvement of the three coupling sites (respiratory Complexes CI, CIII and CIV) in the
 573 catabolic ET-pathway from reduced fuel substrates (electron donors) to the reduction of O₂ (electron
 574 acceptor). This varies with: (1) a bypass of CI by single or multiple electron input into the Q-junction;
 575 and (2) a bypass of CIV by involvement of alternative oxidases, AOX. AOX are expressed in all plants,
 576 some fungi, many protists, and several animal phyla, but are not expressed in vertebrate mitochondria
 577 (McDonald *et al.* 2009).

578 The H⁺_{pos/O₂} coupling stoichiometry equals 12 in the ET-pathways involving CIII and CIV as
 579 proton pumps, increasing to 20 for the NADH-pathway through CI (**Figure 2B**), but a general consensus
 580 on H⁺_{pos/O₂} stoichiometries remains to be reached (Hinkle 2005; Wikström and Hummer 2012; Sazanov
 581 2015). The H⁺_{neg/P»} coupling stoichiometry (3.7; **Figure 2B**) is the sum of 2.7 H⁺_{neg} required by the F-
 582 ATPase of vertebrate and most invertebrate species (Watt *et al.* 2010) and the proton balance in the
 583 translocation of ADP, ATP and P_i (**Figure 2C**). Taken together, the mechanistic P»/O₂ ratio is calculated
 584 at 5.4 and 3.3 for NADH- and succinate-linked respiration, respectively (Eq. 1). The corresponding
 585 classical P»/O ratios (referring to the 2 electron reduction of 0.5 O₂) are 2.7 and 1.6 (Watt *et al.* 2010),
 586 in agreement with the measured P»/O ratio for succinate of 1.58 ± 0.02 (Gnaiger *et al.* 2000).

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Figure 3. Mechanisms of respiratory uncoupling

590 An intact mitochondrial inner membrane, mtIM, is required for vectorial, compartmental coupling.

591 ‘Acoupled’ respiration is the consequence of structural disruption with catalytic activity of non-

592 compartmental mitochondrial fragments. Inducible uncoupling, *e.g.*, by activation of UCP1, increases

593 LEAK-respiration; experimentally noncoupled respiration provides an estimate of ET-capacity obtained

594 by titration of protonophores stimulating respiration to maximum O₂ flux. H⁺ leak-uncoupled,

595 decoupled, and loosely coupled respiration are components of intrinsic uncoupling (Table 2).

596 Pathological dysfunction may affect all types of uncoupling, including permeability transition, causing

597 intrinsically dyscoupled respiration. Similarly, toxicological and environmental stress factors can cause

598 extrinsically dyscoupled respiration. Reduced fuel substrates, red; oxidized products, ox.

599

600 **2.4.3. Uncoupling:** The effective P_»/O₂ flux ratio ($Y_{P_{»}/O_2} = J_{P_{»}}/J_{kO_2}$) is diminished relative to the

601 mechanistic P_»/O₂ ratio by intrinsic and extrinsic uncoupling or dyscoupling (Figure 3). Such

602 generalized uncoupling is different from switching to mitochondrial pathways that involve fewer than

603 three proton pumps (‘coupling sites’: Complexes CI, CIII and CIV), bypassing CI through multiple

604 electron entries into the Q-junction, or CIII and CIV through AOX (Figure 2B). Reprogramming of

605 mitochondrial pathways leading to different types of substrates being oxidized may be considered as a

606 switch of gears (changing the stoichiometry by altering the substrate that is oxidized) rather than

607 uncoupling (loosening the tightness of coupling relative to a fixed stoichiometry). In addition, $Y_{P_{»}/O_2}$

608 depends on several experimental conditions of flux control, increasing as a hyperbolic function of [ADP]

609 to a maximum value (Gnaiger 2001).

610 Uncoupling of mitochondrial respiration is a general term comprising diverse mechanisms:

611 1. Proton leak across the mtIM from the positive to the negative compartment (H⁺ leak-uncoupled;

612 **Figure 3).**

613 2. Cycling of other cations, strongly stimulated by permeability transition; comparable to the use of

614 protonophores, cation cycling is experimentally induced by valinomycin in the presence of K⁺;

615 3. Decoupling by proton slip in the redox proton pumps when protons are effectively not pumped

616 (CI, CIII and CIV) or are not driving phosphorylation (F-ATPase);

617 4. Loss of vesicular (compartmental) integrity when electron transfer is acoupled;

618 5. Electron leak in the loosely coupled univalent reduction of O₂ to superoxide (O₂^{•-}; superoxide

619 anion radical).

620 Differences of terms—uncoupled *vs.* noncoupled—are easily overlooked, although they relate to

621 different meanings of uncoupling (Figure 3 and Table 2).

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623 2.5. Coupling states and respiratory rates

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626 To extend the classical nomenclature on mitochondrial coupling states (Section 2.6) by a concept-
 627 driven terminology that explicitly incorporates information on the meaning of respiratory states, the
 628 terminology must be general and not restricted to any particular experimental protocol or mitochondrial
 629 preparation (Gnaiger 2009). Concept-driven nomenclature aims at mapping the meaning and concept
 630 behind the words and acronyms onto the forms of words and acronyms (Miller 1991). The focus of
 631 concept-driven nomenclature is primarily the conceptual *why*, along with clarification of the
 632 experimental *how*.

633 **Table 1. Coupling states and residual oxygen consumption in mitochondrial**
 634 **preparations in relation to respiration- and phosphorylation-flux, J_{KO_2} and J_{P} , and**
 635 **protonmotive force, pmf.** Coupling states are established at kinetically-saturating
 636 concentrations of fuel substrates and O_2 .

State	J_{KO_2}	J_{P}	pmf	Inducing factors	Limiting factors
LEAK	L ; low, cation leak-dependent respiration	0	max.	back-flux of cations including proton leak, proton slip	$J_{\text{P}} = 0$: (1) without ADP, L_{N} ; (2) max. ATP/ADP ratio, L_{T} ; or (3) inhibition of the phosphorylation-pathway, L_{Omy}
OXPHOS	P ; high, ADP-stimulated respiration, OXPHOS-capacity	max.	high	kinetically-saturating [ADP] and $[\text{P}_i]$	J_{P} by phosphorylation-pathway capacity; or J_{KO_2} by ET-capacity
ET	E ; max., noncoupled respiration, ET-capacity	0	low	optimal external uncoupler concentration for max. $J_{\text{O}_2, \text{E}}$	J_{KO_2} by ET-capacity
ROX	R_{ox} ; min., residual O_2 consumption	0	0	$J_{\text{O}_2, \text{Rox}}$ in non-ET-pathway oxidation reactions	inhibition of all ET-pathways; or absence of fuel substrates

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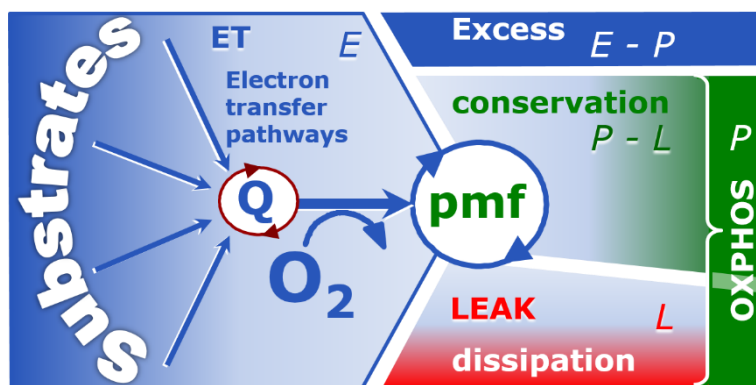
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To provide a diagnostic reference for respiratory capacities of core energy metabolism, the capacity of oxidative phosphorylation, OXPHOS, is measured at kinetically-saturating concentrations of ADP and P_i . The oxidative ET-capacity reveals the limitation of OXPHOS-capacity mediated by the phosphorylation-pathway. The ET- and phosphorylation-pathways comprise coupled segments of the OXPHOS-system. By application of external uncouplers, ET-capacity is measured as noncoupled respiration. The contribution of intrinsically uncoupled O_2 consumption is studied by preventing the stimulation of phosphorylation either in the absence of ADP or by inhibition of the phosphorylation-pathway. The corresponding states are collectively classified as LEAK-states when O_2 consumption compensates mainly for ion leaks, including the proton leak. Defined coupling states are induced by: (1) adding cation chelators such as EGTA, binding free Ca^{2+} and thus limiting cation cycling; (2) adding ADP and P_i ; (3) inhibiting the phosphorylation-pathway; and (4) uncoupler titrations, while maintaining a defined ET-pathway state with constant fuel substrates and inhibitors of specific branches of the ET-pathway.

The three coupling states, ET, LEAK and OXPHOS, are shown schematically with the corresponding respiratory rates, abbreviated as E , L and P , respectively (**Figure 4**). We distinguish metabolic *pathways* from metabolic *states* and the corresponding metabolic *rates*; for example: ET-pathways, ET-states, and ET-capacities, E , respectively (**Table 1**). The protonmotive force is *high* in the OXPHOS-state when it drives phosphorylation, *maximum* in the LEAK-state of coupled mitochondria, driven by LEAK-respiration at a minimum back-flux of cations to the matrix side, and *very low* in the ET-state when uncouplers short-circuit the proton cycle (**Table 1**).

659 **Figure 4. Four-compartment**
 660 **model of oxidative**
 661 **phosphorylation**

662 Respiratory states (ET, OXPHOS,
 663 LEAK; Table 1) and corresponding
 664 rates (E , P , L) are connected by the
 665 protonmotive force, pmf. (1) ET-
 666 capacity, E , is partitioned into (2)
 667 dissipative LEAK-respiration, L ,
 668 when the Gibbs energy change of
 669 catabolic O_2 flux is irreversibly lost,
 670 (3) net OXPHOS-capacity, $P-L$, with
 671 partial conservation of the capacity to
 672 perform work, and (4) the excess capacity,
 $E-P$. Modified from Gnaiger (2014).



673 **Figure 5. Respiratory coupling**
 674 **states**

675 (A) **LEAK-state and rate, L :**

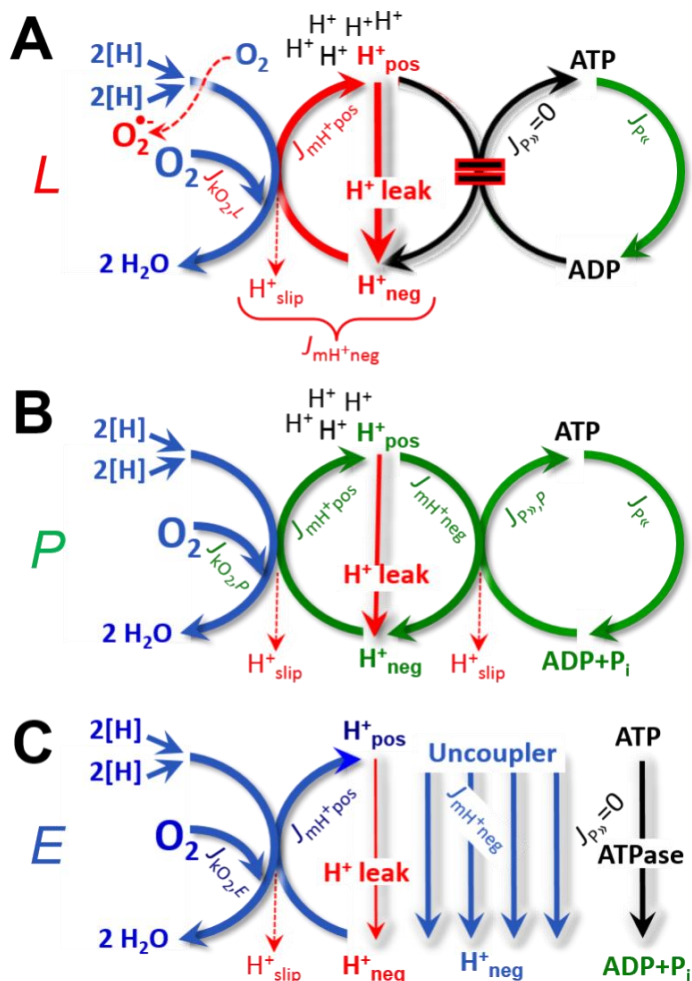
676 Oxidation only, since phosphorylation
 677 is arrested, $J_{P\gg} = 0$, and catabolic O_2
 678 flux, $J_{kO_2,L}$, is controlled mainly by the
 679 proton leak and slip, J_{mH^+neg} , at
 680 maximum protonmotive force (Figure
 681 4). Extramitochondrial ATP may be
 682 hydrolyzed by extramitochondrial
 ATPases, $J_{P\ll}$; then phosphorylation
 must be blocked.

(B) **OXPHOS-state and rate, P :**

Oxidation coupled to phosphorylation,
 $J_{P\gg}$, which is stimulated by kinetically-
saturating [ADP] and $[P_i]$, supported by a
high protonmotive force. O_2 flux, $J_{kO_2,P}$,
is well-coupled at a $P\gg/O_2$ ratio of
 $J_{P\gg,P}/J_{O_2,P}$. Extramitochondrial
ATPases may recycle ATP, $J_{P\ll}$.

(C) **ET-state and rate, E :**

Oxidation only, since phosphorylation is
zero, $J_{P\gg} = 0$, at optimum exogenous
uncoupler concentration when noncoupled
respiration, $J_{kO_2,E}$, is maximum. The
F-ATPase may hydrolyze extramitochondrial
ATP.



673
 674 **2.5.1. LEAK-state (Figure 5A):** The LEAK-state is defined as a state of mitochondrial
 675 respiration when O_2 flux mainly compensates for ion leaks in the absence of ATP synthesis,
 676 at kinetically-saturating concentrations of O_2 , respiratory fuel substrates and P_i . LEAK-respiration
 677 is measured to obtain an estimate of intrinsic uncoupling without addition of an experimental
 678 uncoupler: (1) in the absence of adenylates, *i.e.*, AMP, ADP and ATP; (2) after depletion of ADP at a
 679 maximum ATP/ADP ratio; or (3) after inhibition of the phosphorylation-pathway by inhibitors of
 680 F-ATPase—such as oligomycin, or of adenine nucleotide translocase—such as carboxyatractyloside.
 681 Adjustment of the nominal concentration of these inhibitors to the density of biological sample
 682 applied can minimize or avoid inhibitory side-effects exerted on ET-capacity or even some
 dyscoupling.

683 **Table 2. Terms on respiratory coupling and uncoupling.**

Term	J_{kO_2}	$P \gg O_2$	Notes	
acoupled		0	electron transfer in mitochondrial fragments without vectorial proton translocation (Figure 3)	
intrinsic, no protonophore added	uncoupled	L	0	non-phosphorylating LEAK-respiration (Figure 5A)
	proton leak-uncoupled		0	component of L , H^+ diffusion across the mtIM (Figure 3)
	decoupled		0	component of L , proton slip (Figure 3)
	loosely coupled		0	component of L , lower coupling due to superoxide formation and bypass of proton pumps by electron leak (Figure 3)
	dyscoupled		0	pathologically, toxicologically, environmentally increased uncoupling, mitochondrial dysfunction
	inducibly uncoupled		0	by UCP1 or cation (<i>e.g.</i> , Ca^{2+}) cycling (Figure 3)
noncoupled	E	0	ET-capacity, non-phosphorylating respiration stimulated to maximum flux at optimum exogenous protonophore concentration (Figure 5C)	
well-coupled	P	high	OXPHOS-capacity , phosphorylating respiration with an intrinsic LEAK component (Figure 5B)	
fully coupled	$P - L$	max.	OXPHOS-capacity corrected for LEAK-respiration (Figure 4)	

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- **Proton leak and uncoupled respiration:** The intrinsic proton leak is the *uncoupled* leak current of protons in which protons diffuse across the mtIM in the dissipative direction of the downhill protonmotive force without coupling to phosphorylation (**Figure 5A**). The proton leak flux depends non-linearly on the protonmotive force (Garlid *et al.* 1989; Divakaruni and Brand 2011), which is a temperature-dependent property of the mtIM and may be enhanced due to possible contamination by free fatty acids. Inducible uncoupling mediated by uncoupling protein 1 (UCP1) is physiologically controlled, *e.g.*, in brown adipose tissue. UCP1 is a member of the mitochondrial carrier family that is involved in the translocation of protons across the mtIM (Klingenberg 2017). Consequently, this short-circuit lowers the protonmotive force and stimulates electron transfer, respiration, and heat dissipation in the absence of phosphorylation of ADP.
 - **Cation cycling:** There can be other cation contributors to leak current including calcium and probably magnesium. Calcium influx is balanced by mitochondrial Na^+/Ca^{2+} or H^+/Ca^{2+} exchange, which is balanced by Na^+/H^+ or K^+/H^+ exchanges. This is another effective uncoupling mechanism different from proton leak (**Table 2**).
 - **Proton slip and decoupled respiration:** Proton slip is the *decoupled* process in which protons are only partially translocated by a redox proton pump of the ET-pathways and slip back to the original vesicular compartment. The proton leak is the dominant contributor to the overall leak current in mammalian mitochondria incubated under physiological conditions at 37 °C, whereas proton slip increases at lower experimental temperature (Canton *et al.* 1995). Proton slip can also happen in association with the F-ATPase, in which the proton slips downhill across the pump to the matrix without contributing to ATP synthesis. In each case, proton slip is a property of the proton pump and increases with the pump turnover rate.
 - **Electron leak and loosely coupled respiration:** Superoxide production by the ETS leads to a bypass of redox proton pumps and correspondingly lower $P \gg O_2$ ratio. This depends on the actual site of electron leak and the scavenging of hydrogen peroxide by cytochrome *c*, whereby electrons may re-enter the ETS with proton translocation by CIV.

- **Loss of compartmental integrity and acoupled respiration:** Electron transfer and catabolic O₂ flux proceed without compartmental proton translocation in disrupted mitochondrial fragments. Such fragments are an artefact of mitochondrial isolation, and may not fully fuse to re-establish structurally intact mitochondria. Loss of mtIM integrity, therefore, is the cause of acoupled respiration, which is a nonvectorial dissipative process without control by the protonmotive force.
- **Dyscoupled respiration:** Mitochondrial injuries may lead to *dyscoupling* as a pathological or toxicological cause of *uncoupled* respiration. Dyscoupling may involve any type of uncoupling mechanism, *e.g.*, opening the permeability transition pore. Dyscoupled respiration is distinguished from the experimentally induced *noncoupled* respiration in the ET-state (**Table 2**).

2.5.2. OXPHOS-state (Figure 5B): The OXPHOS-state is defined as the respiratory state with kinetically-saturating concentrations of O₂, respiratory and phosphorylation substrates, and absence of exogenous uncoupler, which provides an estimate of the maximal respiratory capacity in the OXPHOS-state for any given ET-pathway state. Respiratory capacities at kinetically-saturating substrate concentrations provide reference values or upper limits of performance, aiming at the generation of data sets for comparative purposes. Physiological activities and effects of substrate kinetics can be evaluated relative to the OXPHOS-capacity.

As discussed previously, 0.2 mM ADP does not fully saturate flux in isolated mitochondria (Gnaiger 2001; Puchowicz *et al.* 2004); greater [ADP] is required, particularly in permeabilized muscle fibres and cardiomyocytes, to overcome limitations by intracellular diffusion and by the reduced conductance of the mtOM (Jepihhina *et al.* 2011; Illaste *et al.* 2012; Simson *et al.* 2016), either through interaction with tubulin (Rostovtseva *et al.* 2008) or other intracellular structures (Birkedal *et al.* 2014). In addition, saturating ADP concentrations need to be evaluated under different experimental conditions such as temperature (Lemieux *et al.* 2017) and with different animal models (Blier and Guderley, 1993). In permeabilized muscle fibre bundles of high respiratory capacity, the apparent K_m for ADP increases up to 0.5 mM (Saks *et al.* 1998), consistent with experimental evidence that >90% saturation is reached only at >5 mM ADP (Pesta and Gnaiger 2012). Similar ADP concentrations are also required for accurate determination of OXPHOS-capacity in human clinical cancer samples and permeabilized cells (Klepinin *et al.* 2016; Koit *et al.* 2017). 2.5 to 5 mM ADP is sufficient to obtain the actual OXPHOS-capacity in many types of permeabilized tissue and cell preparations, but experimental validation is required in each specific case.

2.5.3. Electron transfer-state (Figure 5C): O₂ flux determined in the ET-state yields an estimate of ET-capacity. The ET-state is defined as the *noncoupled* state with kinetically-saturating concentrations of O₂, respiratory substrate and optimum exogenous uncoupler concentration for maximum O₂ flux. Uncouplers are weak lipid-soluble acids which function as protonophores. These disrupt the barrier function of the mtIM and thus short circuit the protonmotive system, functioning like a clutch in a mechanical system. As a consequence of the nearly collapsed protonmotive force, the driving force is insufficient for phosphorylation, and $J_{P_s} = 0$. The most frequently used uncouplers are carbonyl cyanide *m*-chloro phenyl hydrazone (CCCP), carbonyl cyanide *p*-trifluoromethoxyphenylhydrazone (FCCP), or dinitrophenol (DNP). Stepwise titration of uncouplers stimulates respiration up to or above the level of O₂ consumption rates in the OXPHOS-state; respiration is inhibited, however, above optimum uncoupler concentrations (Mitchell 2011). Data obtained with a single dose of uncoupler must be evaluated with caution, particularly when a fixed uncoupler concentration is used in studies exploring a treatment or disease that may alter the mitochondrial content or mitochondrial sensitivity to inhibition by uncouplers. The effect on ET-capacity of the reversed function of F-ATPase (J_{P_r} ; **Figure 5C**) can be evaluated in the presence and absence of extramitochondrial ATP.

2.5.4. ROX state and *Rox*: Besides the three fundamental coupling states of mitochondrial preparations, the state of residual O₂ consumption, ROX, which although not a coupling state, is relevant to assess respiratory function (**Figure 1**). The rate of residual oxygen consumption, *Rox*, is defined as O₂ consumption due to oxidative reactions measured after inhibition of ET with rotenone, malonic acid and antimycin A. Cyanide and azide inhibit not only CIV but catalase and several peroxidases involved in *Rox*. High concentrations of antimycin A, but not rotenone or cyanide, inhibit peroxisomal acyl-CoA oxidase and D-amino acid oxidase (Vamecq *et al.* 1987). *Rox* represents a baseline used to correct respiration measured in defined coupling control states. *Rox*-corrected *L*, *P* and *E* not only lower the values of total fluxes, but also change the flux control ratios *L/P* and *L/E*. *Rox* is not necessarily

768 equivalent to non-mitochondrial reduction of O₂, considering O₂-consuming reactions in mitochondria
 769 that are not related to ET—such as O₂ consumption in reactions catalyzed by monoamine oxidases (type
 770 A and B), monooxygenases (cytochrome P450 monooxygenases), dioxygenase (sulfur dioxygenase and
 771 trimethyllysine dioxygenase), and several hydroxylases. Even isolated mitochondrial fractions,
 772 especially those obtained from liver, may be contaminated by peroxisomes, as shown by transmission
 773 electron microscopy. This fact makes the exact determination of mitochondrial O₂ consumption and
 774 mitochondria-associated generation of reactive oxygen species complicated (Schönfeld *et al.* 2009;
 775 Speijer 2016; **Figure 2**). The dependence of ROX-linked O₂ consumption needs to be studied in detail
 776 together with non-ET enzyme activities, availability of specific substrates, O₂ concentration, and
 777 electron leakage leading to the formation of reactive oxygen species.

778 **2.5.5. Quantitative relations:** E may exceed or be equal to P . $E > P$ is observed in many types
 779 of mitochondria, varying between species, tissues and cell types (Gnaiger 2009). $E - P$ is the excess ET-
 780 capacity pushing the phosphorylation-flux (**Figure 2C**) to the limit of its capacity for utilizing the
 781 protonmotive force. In addition, the magnitude of $E - P$ depends on the tightness of respiratory coupling
 782 or degree of uncoupling, since an increase of L causes P to increase towards the limit of E . The *excess*
 783 $E - P$ capacity, $E - P$, therefore, provides a sensitive diagnostic indicator of specific injuries of the
 784 phosphorylation-pathway, under conditions when E remains constant but P declines relative to controls
 785 (**Figure 4**). Substrate cocktails supporting simultaneous convergent electron transfer to the Q-junction
 786 for reconstitution of TCA cycle function establish pathway control states with high ET-capacity, and
 787 consequently increase the sensitivity of the $E - P$ assay.

788 E cannot theoretically be lower than P . $E < P$ must be discounted as an artefact, which may be
 789 caused experimentally by: (1) loss of oxidative capacity during the time course of the respirometric
 790 assay, since E is measured subsequently to P ; (2) using insufficient uncoupler concentrations; (3) using
 791 high uncoupler concentrations which inhibit ET (Gnaiger 2008); (4) high oligomycin concentrations
 792 applied for measurement of L before titrations of uncoupler, when oligomycin exerts an inhibitory effect
 793 on E . On the other hand, the excess ET-capacity is overestimated if non-saturating [ADP] or [P_i] are
 794 used. See State 3 in the next section.

795 The net OXPHOS-capacity is calculated by subtracting L from P (**Figure 4**). The net P»/O₂ equals
 796 P»/($P - L$), wherein the dissipative LEAK component in the OXPHOS-state may be overestimated. This
 797 can be avoided by measuring LEAK-respiration in a state when the protonmotive force is adjusted to its
 798 slightly lower value in the OXPHOS-state by titration of an ET inhibitor (Divakaruni and Brand 2011).
 799 Any turnover-dependent components of proton leak and slip, however, are underestimated under these
 800 conditions (Garlid *et al.* 1993). In general, it is inappropriate to use the term *ATP production* or *ATP*
 801 *turnover* for the difference of O₂ flux measured in the OXPHOS and LEAK states. $P - L$ is the upper limit
 802 of OXPHOS-capacity that is freely available for ATP production (corrected for LEAK-respiration) and
 803 is fully coupled to phosphorylation with a maximum mechanistic stoichiometry (**Figure 4**).

804 LEAK-respiration and OXPHOS-capacity depend on (1) the tightness of coupling under the
 805 influence of the respiratory uncoupling mechanisms (**Figure 3**), and (2) the coupling stoichiometry,
 806 which varies as a function of the substrate type undergoing oxidation in ET-pathways with either two
 807 or three coupling sites (**Figure 2B**). When cocktails with NADH-linked substrates and succinate are
 808 used, the relative contribution of ET-pathways with three or two coupling sites cannot be controlled
 809 experimentally, is difficult to determine, and may shift in transitions between LEAK-, OXPHOS- and
 810 ET-states (Gnaiger 2014). Under these experimental conditions, we cannot separate the tightness of
 811 coupling *versus* coupling stoichiometry as the mechanisms of respiratory control in the shift of L/P
 812 ratios. The tightness of coupling and fully coupled O₂ flux, $P - L$ (**Table 2**), therefore, are obtained from
 813 measurements of coupling control of LEAK-respiration, OXPHOS- and ET-capacities in well-defined
 814 pathway states, using either pyruvate and malate as substrates or the classical succinate and rotenone
 815 substrate-inhibitor combination (**Figure 2B**).

816 **2.5.6. The steady-state:** Mitochondria represent a thermodynamically open system in non-
 817 equilibrium states of biochemical energy transformation. State variables (protonmotive force; redox
 818 states) and metabolic *rates* (fluxes) are measured in defined mitochondrial respiratory *states*. Steady-
 819 states can be obtained only in open systems, in which changes by internal transformations, *e.g.*, O₂
 820 consumption, are instantaneously compensated for by external fluxes, *e.g.*, O₂ supply, preventing a
 821 change of O₂ concentration in the system (Gnaiger 1993b). Mitochondrial respiratory states monitored
 822 in closed systems satisfy the criteria of pseudo-steady states for limited periods of time, when changes
 823 in the system (concentrations of O₂, fuel substrates, ADP, P_i, H⁺) do not exert significant effects on

824 metabolic fluxes (respiration, phosphorylation). Such pseudo-steady states require respiratory media
 825 with sufficient buffering capacity and substrates maintained at kinetically-saturating concentrations, and
 826 thus depend on the kinetics of the processes under investigation.

827

828 2.6. Classical terminology for isolated mitochondria

829 'When a code is familiar enough, it ceases appearing like a code; one forgets that there is a
 830 decoding mechanism. The message is identical with its meaning' (Hofstadter 1979).

831

832 Chance and Williams (1955; 1956) introduced five classical states of mitochondrial respiration
 833 and cytochrome redox states. **Table 3** shows a protocol with isolated mitochondria in a closed
 834 respirometric chamber, defining a sequence of respiratory states. States and rates are not specifically
 835 distinguished in this nomenclature.

836

837

Table 3. Metabolic states of mitochondria (Chance and Williams, 1956; Table V).

838

839

State	[O ₂]	ADP level	Substrate level	Respiration rate	Rate-limiting substance
1	>0	low	low	slow	ADP
2	>0	high	~0	slow	substrate
3	>0	high	high	fast	respiratory chain
4	>0	low	high	slow	ADP
5	0	high	high	0	oxygen

840

841

842 **2.6.1. State 1** is obtained after addition of isolated mitochondria to air-saturated
 843 isoosmotic/isotonic respiration medium containing P_i, but no fuel substrates and no adenylates.

844 **2.6.2. State 2** is induced by addition of a 'high' concentration of ADP (typically 100 to 300 μM),
 845 which stimulates respiration transiently on the basis of endogenous fuel substrates and phosphorylates
 846 only a small portion of the added ADP. State 2 is then obtained at a low respiratory activity limited by
 847 exhausted endogenous fuel substrate availability (**Table 3**). If addition of specific inhibitors of
 848 respiratory complexes such as rotenone does not cause a further decline of O₂ flux, State 2 is equivalent
 849 to the ROX state (See below.). If inhibition is observed, undefined endogenous fuel substrates are a
 850 confounding factor of pathway control, contributing to the effect of subsequently externally added
 851 substrates and inhibitors. In contrast to the original protocol, an alternative sequence of titration steps is
 852 frequently applied, in which the alternative 'State 2' has an entirely different meaning when this second
 853 state is induced by addition of fuel substrate without ADP or ATP (LEAK-state; in contrast to State 2
 854 defined in **Table 1** as a ROX state). Some researchers have called this condition as 'pseudostate 4'
 855 because it has no significant concentrations of adenine nucleotides and hence it is not a near-
 856 physiological condition, although it should be used for calculating the net OXPHOS-capacity, *P-L*.

857 **2.6.3. State 3** is the state stimulated by addition of fuel substrates while the ADP concentration
 858 is still high (**Table 3**) and supports coupled energy transformation through oxidative phosphorylation.
 859 'High ADP' is a concentration of ADP specifically selected to allow the measurement of State 3 to State
 860 4 transitions of isolated mitochondria in a closed respirometric chamber. Repeated ADP titration re-
 861 establishes State 3 at 'high ADP'. Starting at O₂ concentrations near air-saturation (193 or 238 μM O₂
 862 at 37 °C or 25 °C and sea level at 1 atm or 101.32 kPa, and an oxygen solubility of respiration medium
 863 at 0.92 times that of pure water; Forstner and Gnaiger 1983), the total ADP concentration added must
 864 be low enough (typically 100 to 300 μM) to allow phosphorylation to ATP at a coupled O₂ flux that
 865 does not lead to O₂ depletion during the transition to State 4. In contrast, kinetically-saturating ADP
 866 concentrations usually are 10-fold higher than 'high ADP', e.g., 2.5 mM in isolated mitochondria. The
 867 abbreviation State 3u is occasionally used in bioenergetics, to indicate the state of respiration after
 868 titration of an uncoupler, without sufficient emphasis on the fundamental difference between OXPHOS-
 869 capacity (*well-coupled* with an endogenous uncoupled component) and ET-capacity (*noncoupled*).

870 **2.6.4. State 4** is a LEAK-state that is obtained only if the mitochondrial preparation is intact and
 871 well-coupled. Depletion of ADP by phosphorylation to ATP causes a decline of O₂ flux in the transition
 872 from State 3 to State 4. Under the conditions of State 4, a maximum protonmotive force and high
 873 ATP/ADP ratio are maintained. The gradual decline of $Y_{P\gg/O_2}$ towards diminishing [ADP] at State 4 must
 874 be taken into account for calculation of $P\gg/O_2$ ratios (Gnaiger 2001). State 4 respiration, L_T (**Table 1**),
 875 reflects intrinsic proton leak and ATP hydrolysis activity. O₂ flux in State 4 is an overestimation of
 876 LEAK-respiration if the contaminating ATP hydrolysis activity recycles some ATP to ADP, $J_{P\ll}$, which
 877 stimulates respiration coupled to phosphorylation, $J_{P\gg} > 0$. Some degree of mechanical disruption and
 878 loss of mitochondrial integrity allows the exposed mitochondrial F-ATPases to hydrolyze the ATP
 879 synthesized by the fraction of coupled mitochondria. This can be tested by inhibition of the
 880 phosphorylation-pathway using oligomycin, ensuring that $J_{P\gg} = 0$ (State 4o). On the other hand, the State
 881 4 respiration reached after exhaustion of added ADP is a more physiological condition, *i.e.*, presence of
 882 ATP, ADP and even AMP. Sequential ADP titrations re-establish State 3, followed by State 3 to State
 883 4 transitions while sufficient O₂ is available. Anoxia may be reached, however, before exhaustion of
 884 ADP (State 5).

885 **2.6.5. State 5** ‘*may be obtained by antimycin A treatment or by anaerobiosis*’ (Chance and
 886 Williams, 1955) ‘. These definitions give State 5 two different meanings of ROX or anoxia, respectively.
 887 Anoxia is obtained after exhaustion of O₂ in a closed respirometric chamber. Diffusion of O₂ from the
 888 surroundings into the aqueous solution may be a confounding factor preventing complete anoxia
 889 (Gnaiger 2001).

890 In **Table 3**, only States 3 and 4 are coupling control states, with the restriction that rates in State
 891 3 may be limited kinetically by non-saturating ADP concentrations.

892

893 2.7. Control and regulation

894

895 The terms metabolic *control* and *regulation* are frequently used synonymously, but are
 896 distinguished in metabolic control analysis: “We could understand the regulation as the mechanism that
 897 occurs when a system maintains some variable constant over time, in spite of fluctuations in external
 898 conditions (homeostasis of the internal state). On the other hand, metabolic control is the power to
 899 change the state of the metabolism in response to an external signal” (Fell 1997). Respiratory control
 900 may be induced by experimental control signals that exert an influence on: (1) ATP demand and ADP
 901 phosphorylation-rate; (2) fuel substrate composition, pathway competition; (3) available amounts of
 902 substrates and O₂, *e.g.*, starvation and hypoxia; (4) the protonmotive force, redox states, flux–force
 903 relationships, coupling and efficiency; (5) Ca²⁺ and other ions including H⁺; (6) inhibitors, *e.g.*, nitric
 904 oxide or intermediary metabolites such as oxaloacetate; (7) signalling pathways and regulatory proteins,
 905 *e.g.*, insulin resistance, transcription factor hypoxia inducible factor 1.

906 Mechanisms of respiratory control and regulation include adjustments of: (1) enzyme activities
 907 by allosteric mechanisms and phosphorylation; (2) enzyme content, concentrations of cofactors and
 908 conserved moieties such as adenylates, nicotinamide adenine dinucleotide [NAD⁺/NADH], coenzyme
 909 Q, cytochrome *c*; (3) metabolic channeling by supercomplexes; and (4) mitochondrial density (enzyme
 910 concentrations and membrane area) and morphology (cristae folding, fission and fusion). Mitochondria
 911 are targeted directly by hormones, *e.g.*, progesterone and glucacorticoids, which affect their energy
 912 metabolism (Lee *et al.* 2013; Gerö and Szabo 2016; Price and Dai 2016; Moreno *et al.* 2017).
 913 Evolutionary or acquired differences in the genetic and epigenetic basis of mitochondrial function (or
 914 dysfunction) between individuals; age; biological sex, and hormone concentrations; life style including
 915 exercise and nutrition; and environmental issues including thermal, atmospheric, toxic and
 916 pharmacological factors, exert an influence on all control mechanisms listed above. For reviews, see
 917 Brown 1992; Gnaiger 1993a, 2009; 2014; Paradies *et al.* 2014; Morrow *et al.* 2017.

918 Lack of control by a metabolic pathway, *e.g.*, phosphorylation-pathway, means that there will
 919 be no response to a variable activating it, *e.g.*, [ADP]. The reverse, however, is not true as the absence
 920 of a response to [ADP] does not exclude the phosphorylation-pathway from having some degree of
 921 control. The degree of control of a component of the OXPHOS-pathway on an output variable, such as
 922 O₂ flux, will in general be different from the degree of control on other outputs, such as phosphorylation-
 923 flux or proton leak flux. Therefore, it is necessary to be specific as to which input and output are under
 924 consideration (Fell 1997).

925 Respiratory control refers to the ability of mitochondria to adjust O₂ flux in response to external
 926 control signals by engaging various mechanisms of control and regulation. Respiratory control is
 927 monitored in a mitochondrial preparation under conditions defined as respiratory states, preferentially
 928 under near-physiological conditions of temperature, pH, and medium ionic composition, to generate
 929 data of higher biological relevance. When phosphorylation of ADP to ATP is stimulated or depressed,
 930 an increase or decrease is observed in electron transfer measured as O₂ flux in respiratory coupling states
 931 of intact mitochondria ('controlled states' in the classical terminology of bioenergetics). Alternatively,
 932 coupling of electron transfer with phosphorylation is diminished by uncouplers. The corresponding
 933 coupling control state is characterized by a high respiratory rate without control by P» (noncoupled or
 934 'uncontrolled state').

935
 936

937 3. What is a rate?

938

939 The term *rate* is not adequately defined to be useful for reporting data. Normalization of 'rates'
 940 leads to a diversity of formats. Application of common and defined units is required for direct transfer
 941 of reported results into a database. The second [s] is the SI unit for the base quantity *time*. It is also the
 942 standard time-unit used in solution chemical kinetics.

943 The inconsistency of the meanings of rate becomes apparent when considering Galileo Galilei's
 944 famous principle, that 'bodies of different weight all fall at the same rate (have a constant acceleration)'
 945 (Coopersmith 2010). A rate may be an extensive quantity, which is a *flow*, *I*, when expressed per object
 946 (per number of cells or organisms) or per chamber (per system). 'System' is defined as the open or
 947 closed chamber of the measuring device. A rate is a *flux*, *J*, when expressed as a size-specific quantity
 948 (Figure 6A; Box 2).

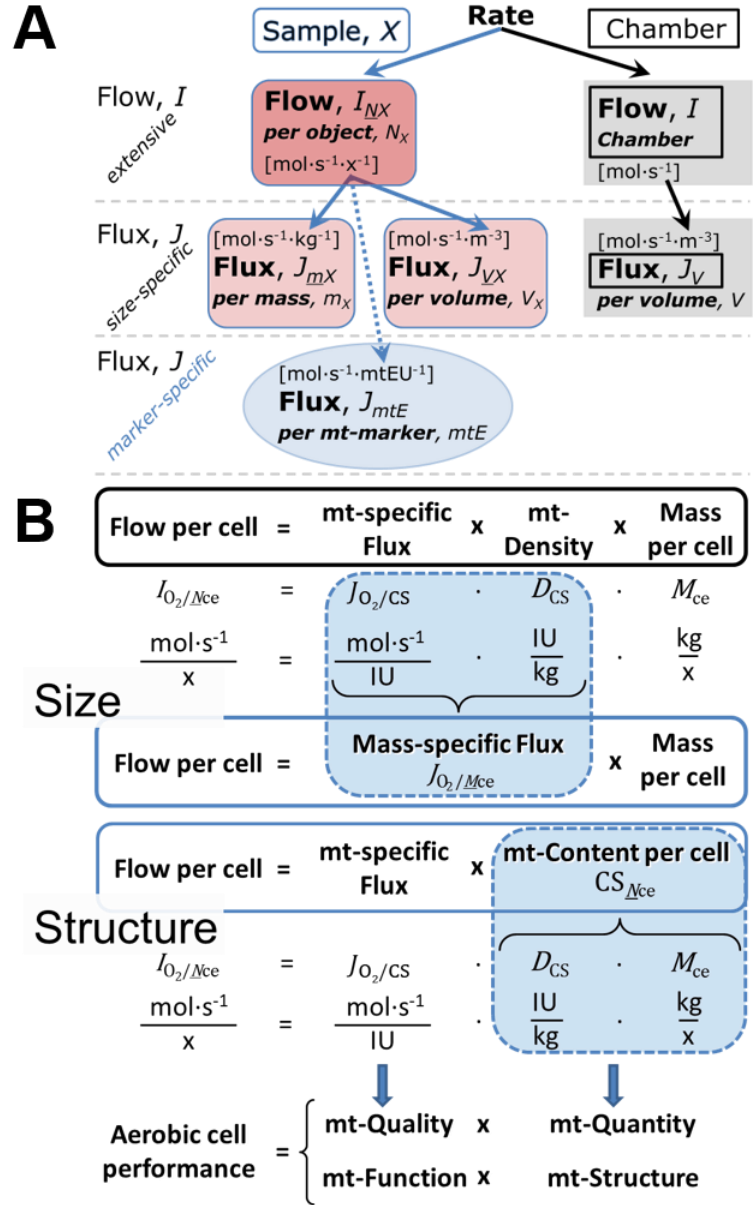
- 949 • **Extensive quantities:** An extensive quantity increases proportionally with system size. For
 950 example, mass and volume are extensive quantities. Flow is an extensive quantity. The
 951 magnitude of an extensive quantity is completely additive for non-interacting subsystems.
 952 The magnitude of these quantities depends on the extent or size of the system (Cohen *et al.*
 953 2008).
- 954 • **Size-specific quantities:** 'The adjective *specific* before the name of an extensive quantity is
 955 often used to mean *divided by mass*' (Cohen *et al.* 2008). In this system-paradigm, mass-
 956 specific flux is flow divided by mass of the system (the total mass of everything within the
 957 measuring chamber or reactor). Rates are frequently expressed as volume-specific flux. A
 958 mass-specific or volume-specific quantity is independent of the extent of non-interacting
 959 homogenous subsystems. Tissue-specific quantities (related to the *sample* in contrast to the
 960 *system*) are of fundamental interest in the field of comparative mitochondrial physiology,
 961 where *specific* refers to the *type of the sample* rather than *mass of the system*. The term
 962 *specific*, therefore, must be clarified; *sample-specific*, *e.g.*, muscle mass-specific
 963 normalization, is distinguished from *system-specific* quantities (mass or volume; Figure 6).
- 964 • **Intensive quantities:** In contrast to size-specific properties, forces are intensive quantities
 965 defined as the change of an extensive quantity per advancement of an energy transformation
 966 (Gnaiger 1993b).
- 967 • N_X and m_X indicate the number format and mass format, respectively, for expressing the
 968 quantity of a sample *X*. When different formats are indicated in symbols of derived quantities,
 969 the format (\underline{N} , \underline{m}) is shown as a subscript (*underlined italic*), as in $I_{O_2/\underline{N}X}$ and $J_{O_2/\underline{m}X}$. Oxygen
 970 flow and flux are expressed in the molar format, n_{O_2} [mol], but in the volume format, V_{O_2} [m³]
 971 in ergometry. For mass-specific flux these formats can be distinguished as $J_{nO_2/\underline{m}X}$ and $J_{VO_2/\underline{m}X}$,
 972 respectively. Further examples are given in Figure 6 and Table 4.

973

974 **Figure 6. Flow and flux, and**
 975 **normalization in structure-**
 976 **function analysis**

977 (A) When expressing metabolic
 978 ‘rate’ measured in a chamber, a
 979 fundamental distinction is made
 980 between relating the rate to the
 981 experimental sample (left) or
 982 chamber (right). The different
 983 meanings of rate need to be
 984 specified by the chosen
 985 normalization. Left: Results are
 986 expressed as mass-specific flux, J_{mX} ,
 987 per mg protein, dry or wet mass.
 988 Cell volume, V_{ce} , may be used for
 989 normalization (volume-specific
 990 flux, J_{Vce}). Right: Flow per chamber,
 991 I , or flux per chamber volume, J_V ,
 992 are merely reported for
 993 methodological reasons.

994 (B) O_2 flow per cell, $I_{O_2/Nce}$, is the
 995 product of mitochondria-specific
 996 flux, mt-density and mass per cell.
 997 Unstructured analysis: performance
 998 is the product of mass-specific flux,
 999 $J_{O_2/MX}$ [$\text{mol}\cdot\text{s}^{-1}\cdot\text{kg}^{-1}$], and size
 1000 (mass per cell). Structured analysis:
 1001 performance is the product of
 1002 mitochondrial function (mt-specific
 1003 flux) and structure (mt-content).
 1004 Modified from Gnaiger (2014). For
 1005 further details see **Table 4**.



1011 **Box 2: Metabolic flows and fluxes: vectoral, vectorial, and scalar**

1012
 1013 In a generalization of electrical terms, flow as an extensive quantity (I ; per system) is
 1014 distinguished from flux as a size-specific quantity (J ; per system size). *Flows*, I_{tr} , are defined for all
 1015 transformations as extensive quantities. Electric charge per unit time is electric flow or current, $I_{el} =$
 1016 $dQ_{el}\cdot dt^{-1}$ [$A \equiv C\cdot s^{-1}$]. When dividing I_{el} by size of the system (cross-sectional area of a ‘wire’), we obtain
 1017 flux as a size-specific quantity, which is the current density (surface-density of flow) perpendicular to
 1018 the direction of flux, $J_{el} = I_{el}\cdot A^{-1}$ [$A\cdot m^{-2}$] (Cohen et al. 2008). Fluxes with *spatial* geometric direction and
 1019 magnitude are *vectors*. Vector and scalar *fluxes* are related to flows as $J_{tr} = I_{tr}\cdot A^{-1}$ [$\text{mol}\cdot\text{s}^{-1}\cdot\text{m}^{-2}$] and $J_{tr} =$
 1020 $I_{tr}\cdot V^{-1}$ [$\text{mol}\cdot\text{s}^{-1}\cdot\text{m}^{-3}$], expressing flux as an area-specific vector or volume-specific vectorial or scalar
 1021 quantity, respectively (Gnaiger 1993b). We use the metre–kilogram–second–ampere (MKSA)
 1022 international system of units (SI) for general cases ([m], [kg], [s] and [A]), with decimal SI prefixes for
 1023 specific applications (**Table 4**).

1024 We suggest defining: (1) *vectoral* fluxes, which are translocations as functions of *gradients* with
 1025 direction in geometric space in continuous systems; (2) *vectorial* fluxes, which describe translocations
 1026 in discontinuous systems and are restricted to information on *compartmental differences*
 1027 (transmembrane proton flux); and (3) *scalar* fluxes, which are transformations in a *homogenous* system
 1028 (catabolic O_2 flux, J_{kO_2}).

1029 **4. Normalization of rate per sample**

1030

1031 The challenges of measuring mitochondrial respiratory flux are matched by those of
 1032 normalization. Normalization (**Table 4**) is guided by physicochemical principles, methodological
 1033 considerations, and conceptual strategies (**Figure 6**).

1034

1035 **Table 4. Sample concentrations and normalization of flux.**

1036

Expression	Symbol	Definition	Unit	Notes
Sample				
identity of sample	X	object: cell, tissue, animal, patient		
number of sample entities X	N_X	number of objects	x	1
mass of sample X	m_X		kg	2
mass of object X	M_X	$M_X = m_X \cdot N_X^{-1}$	$\text{kg} \cdot \text{x}^{-1}$	2
Mitochondria				
mitochondria	mt	$X = \text{mt}$		
amount of mt-elementary components	mtE	quantity of mt-marker	mtEU	
Concentrations				
object number concentration	C_{NX}	$C_{NX} = N_X \cdot V^{-1}$	$\text{x} \cdot \text{m}^{-3}$	3
sample mass concentration	C_{mX}	$C_{mX} = m_X \cdot V^{-1}$	$\text{kg} \cdot \text{m}^{-3}$	
mitochondrial concentration	C_{mtE}	$C_{mtE} = mtE \cdot V^{-1}$	$\text{mtEU} \cdot \text{m}^{-3}$	4
specific mitochondrial density	D_{mtE}	$D_{mtE} = mtE \cdot m_X^{-1}$	$\text{mtEU} \cdot \text{kg}^{-1}$	5
mitochondrial content, mtE per object X	mtE_{NX}	$mtE_{NX} = mtE \cdot N_X^{-1}$	$\text{mtEU} \cdot \text{x}^{-1}$	6
O₂ flow and flux				
flow, system	I_{O_2}	internal flow	$\text{mol} \cdot \text{s}^{-1}$	7
volume-specific flux	J_{V,O_2}	$J_{V,O_2} = I_{O_2} \cdot V^{-1}$	$\text{mol} \cdot \text{s}^{-1} \cdot \text{m}^{-3}$	8
flow per object X	$I_{O_2/NX}$	$I_{O_2/NX} = J_{V,O_2} \cdot C_{NX}^{-1}$	$\text{mol} \cdot \text{s}^{-1} \cdot \text{x}^{-1}$	9
mass-specific flux	$J_{O_2/mX}$	$J_{O_2/mX} = J_{V,O_2} \cdot C_{mX}^{-1}$	$\text{mol} \cdot \text{s}^{-1} \cdot \text{kg}^{-1}$	10
mt-marker-specific flux	$J_{O_2/mtE}$	$J_{O_2/mtE} = J_{V,O_2} \cdot C_{mtE}^{-1}$	$\text{mol} \cdot \text{s}^{-1} \cdot \text{mtEU}^{-1}$	11

1037 1 The unit x for a number is not used by IUPAC. To avoid confusion, the units [$\text{kg} \cdot \text{x}^{-1}$] and [kg]
 1038 distinguish the mass per object from the mass of a sample that may contain any number of objects.
 1039 Similarly, the units for flow per system *versus* flow per object are [$\text{mol} \cdot \text{s}^{-1}$] (Note 8) and [$\text{mol} \cdot \text{s}^{-1} \cdot \text{x}^{-1}$]
 1040 (Note 10).

1041 2 Units are given in the MKSA system (**Box 2**). The SI prefix k is used for the SI base unit of mass (kg
 1042 = 1,000 g). In praxis, various SI prefixes are used for convenience, to make numbers easily readable,
 1043 e.g., 1 mg tissue, cell or mitochondrial mass instead of 0.000001 kg.

1044 3 In case of cells (sample $X = \text{cells}$), the object number concentration is $C_{N_{ce}} = N_{ce} \cdot V^{-1}$, and volume
 1045 may be expressed in [$\text{dm}^3 \equiv \text{L}$] or [$\text{cm}^3 = \text{mL}$]. See **Table 5** for different object types.

1046 4 mt-concentration is an experimental variable, dependent on sample concentration: (1) $C_{mtE} = mtE \cdot V^{-1}$;
 1047 (2) $C_{mtE} = mtE_X \cdot C_{NX}$; (3) $C_{mtE} = C_{mX} \cdot D_{mtE}$.

1048 5 If the amount of mitochondria, mtE , is expressed as mitochondrial mass, then D_{mtE} is the mass
 1049 fraction of mitochondria in the sample. If mtE is expressed as mitochondrial volume, V_{mt} , and the
 1050 mass of sample, m_X , is replaced by volume of sample, V_X , then D_{mtE} is the volume fraction of
 1051 mitochondria in the sample.

1052 6 $mtE_{NX} = mtE \cdot N_X^{-1} = C_{mtE} \cdot C_{NX}^{-1}$.

1053 7 O₂ can be replaced by other chemicals to study different reactions, e.g., ATP, H₂O₂, or vesicular
 1054 compartmental translocations, e.g., Ca²⁺.

- 1055 8 I_{O_2} and V are defined per instrument chamber as a system of constant volume (and constant
 1056 temperature), which may be closed or open. I_{O_2} is abbreviated for I_{rO_2} , *i.e.*, the metabolic or internal
 1057 O_2 flow of the chemical reaction r in which O_2 is consumed, hence the negative stoichiometric
 1058 number, $\nu_{O_2} = -1$. $I_{rO_2} = d_r n_{O_2} / dt \cdot \nu_{O_2}^{-1}$. If r includes all chemical reactions in which O_2 participates, then
 1059 $d_r n_{O_2} = dn_{O_2} - d_e n_{O_2}$, where dn_{O_2} is the change in the amount of O_2 in the instrument chamber and $d_e n_{O_2}$
 1060 is the amount of O_2 added externally to the system. At steady state, by definition $dn_{O_2} = 0$, hence $d_r n_{O_2}$
 1061 $= -d_e n_{O_2}$. Note that in this context ‘external’, e , refers to the system, whereas in Figure 1 ‘external’,
 1062 ext , refers to the organism.
 1063 9 J_{V,O_2} is an experimental variable, expressed per volume of the instrument chamber.
 1064 10 $I_{O_2/NX}$ is a physiological variable, depending on the size of entity X .
 1065 11 There are many ways to normalize for a mitochondrial marker, that are used in different experimental
 1066 approaches: (1) $J_{O_2/mtE} = J_{V,O_2} \cdot C_{mtE}^{-1}$; (2) $J_{O_2/mtE} = J_{V,O_2} \cdot C_{mX}^{-1} \cdot D_{mtE}^{-1} = J_{O_2/mX} \cdot D_{mtE}^{-1}$; (3) $J_{O_2/mtE} =$
 1067 $J_{V,O_2} \cdot C_{NX}^{-1} \cdot mtE_{NX}^{-1} = I_{O_2/NX} \cdot mtE_{NX}^{-1}$; (4) $J_{O_2/mtE} = I_{O_2} \cdot mtE^{-1}$. The mt-elementary unit [mtEU] varies depending
 1068 on the mt-marker.
 1069
 1070
 1071

Table 5. Sample types, X, abbreviations, and quantification.

Identity of sample	X	N_X	Mass ^a	Volume	mt-Marker
mitochondrial preparation		[x]	[kg]	[m ³]	[mtEU]
isolated mitochondria	imt		m_{mt}	V_{mt}	mtE
tissue homogenate	thom		m_{thom}		mtE_{thom}
permeabilized tissue	pti		m_{pti}		mtE_{pti}
permeabilized fibre	pfi		m_{pfi}		mtE_{pfi}
permeabilized cell	pce	N_{pce}	M_{pce}	V_{pce}	mtE_{pce}
cells ^b	ce	N_{ce}	M_{ce}	V_{ce}	mtE_{ce}
intact cell, viable cell	vce	N_{vce}	M_{vce}	V_{vce}	
dead cell	dce	N_{dce}	M_{dce}	V_{dce}	
organism	org	N_{org}	M_{org}	V_{org}	

^a Instead of mass, the wet weight or dry weight is frequently stated, W_w or W_d . m_X is mass of the sample [kg], M_X is mass of the object [kg·x⁻¹] (Table 4).

^b Total cell count, $N_{ce} = N_{vce} + N_{dce}$

4.1. Flow: per object

4.1.1. Number concentration, C_{NX} : Normalization per sample concentration is routinely required to report respiratory data. C_{NX} is the experimental number concentration of sample X . In the case of animals, *e.g.*, nematodes, $C_{NX} = N_X/V$ [x·L⁻¹], where N_X is the number of organisms in the chamber. Similarly, the number of cells per chamber volume is the number concentration of permeabilized or intact cells $C_{Nce} = N_{ce}/V$ [x·L⁻¹], where N_{ce} is the number of cells in the chamber (Table 4).

4.1.2. Flow per object, $I_{O_2/NX}$: O_2 flow per cell is calculated from volume-specific O_2 flux, J_{V,O_2} [nmol·s⁻¹·L⁻¹] (per V of the measurement chamber [L]), divided by the number concentration of cells. The total cell count is the sum of viable and dead cells, $N_{ce} = N_{vce} + N_{dce}$ (Table 5). The cell viability index, $VI = N_{vce}/N_{ce}$, is the ratio of viable cells (N_{vce} ; before experimental permeabilization) per total cell count. After experimental permeabilization, all cells are permeabilized, $N_{pce} = N_{ce}$. The cell viability index can be used to normalize respiration for the number of cells that have been viable before experimental permeabilization, $I_{O_2/Nvce} = I_{O_2/Nce}/VI$, considering that mitochondrial respiratory dysfunction in dead cells should be eliminated as a confounding factor.

The complexity changes when the object is a whole organism studied as an experimental model. The scaling law in respiratory physiology reveals a strong interaction between O_2 flow and individual body mass: *basal* metabolic rate (flow) does not increase linearly with body mass, whereas *maximum* mass-specific O_2 flux, \dot{V}_{O_2max} or \dot{V}_{O_2peak} , is approximately constant across a large range of individual body mass (Weibel and Hoppeler 2005). Individuals, breeds and species, however, deviate substantially from this relationship. \dot{V}_{O_2peak} of human endurance athletes is 60 to 80 mL O_2 ·min⁻¹·kg⁻¹ body mass, converted to $J_{O_2peak/Morg}$ of 45 to 60 nmol·s⁻¹·g⁻¹ (Gnaiger 2014; Table 6).

4.2. Size-specific flux: per sample size

4.2.1. Sample concentration, C_{mX} : Considering permeabilized tissue, homogenate or cells as the sample, X , the sample mass is m_X [mg], which is frequently measured as wet or dry weight, W_w or W_d [mg], respectively, or as amount of protein, m_{Protein} . The sample concentration is the mass of the subsample per volume of the measurement chamber, $C_{mX} = m_X/V$ [$\text{g}\cdot\text{L}^{-1} = \text{mg}\cdot\text{mL}^{-1}$]. X is the type of sample—isolated mitochondria, tissue homogenate, permeabilized fibres or cells (**Table 5**).

4.2.2. Size-specific flux: Cellular O_2 flow can be compared between cells of identical size. To take into account changes and differences in cell size, normalization is required to obtain cell size-specific or mitochondrial marker-specific O_2 flux (Renner *et al.* 2003).

- **Mass-specific flux, $J_{\text{O}_2/mX}$ [$\text{mol}\cdot\text{s}^{-1}\cdot\text{kg}^{-1}$]:** Mass-specific flux is obtained by expressing respiration per mass of sample, m_X [mg]. Flow per cell is divided by mass per cell, $J_{\text{O}_2/mce} = I_{\text{O}_2/Nce}/M_{Nce}$. Or chamber volume-specific flux, J_{V,O_2} , is divided by mass concentration of X in the chamber, $J_{\text{O}_2/mX} = J_{V,\text{O}_2}/C_{mX}$.
- **Cell volume-specific flux, $J_{\text{O}_2/VX}$ [$\text{mol}\cdot\text{s}^{-1}\cdot\text{m}^{-3}$]:** Sample volume-specific flux is obtained by expressing respiration per volume of sample. For example, in the case of using cells as sample will be the volume of cells added to the chamber (**Figure 6**).

If size-specific O_2 flux is constant and independent of sample size, then there is no interaction between the subsystems. For example, a 1.5 mg and a 3.0 mg muscle sample respire at identical mass-specific flux. Mass-specific O_2 flux, however, may change with the mass of a tissue sample, cells or isolated mitochondria in the measuring chamber, in which the nature of the interaction becomes an issue. Therefore, cell density must be optimized, particularly in experiments carried out in wells, considering the confluency of the cell monolayer or clumps of cells (Salabei *et al.* 2014).

4.3. Marker-specific flux: per mitochondrial content

Tissues can contain multiple cell populations that may have distinct mitochondrial subtypes. Mitochondria undergo dynamic fission and fusion cycles, and can exist in multiple stages and sizes that may be altered by a range of factors. The isolation of mitochondria (often achieved through differential centrifugation) can therefore yield a subsample of the mitochondrial types present in a tissue, depending on the isolation protocols utilized, *e.g.*, centrifugation speed. This possible bias should be taken into account when planning experiments using isolated mitochondria. Different sizes of mitochondria are enriched at specific centrifugation speeds, which can be used strategically for isolation of mitochondrial subpopulations.

Part of the mitochondrial content of a tissue is lost during preparation of isolated mitochondria. The fraction of isolated mitochondria obtained from a tissue sample is expressed as mitochondrial recovery. At a high mitochondrial recovery, the fraction of isolated mitochondria is more representative of the total mitochondrial population than in preparations characterized by low recovery. Determination of the mitochondrial recovery and yield is based on measurement of the concentration of a mitochondrial marker in the stock of isolated mitochondria, $C_{mtE,\text{stock}}$, and crude tissue homogenate, $C_{mtE,\text{thom}}$, which simultaneously provides information on the specific mitochondrial density in the sample, D_{mtE} (**Table 4**).

When discussing concepts of normalization, it is essential to consider the question posed by the study. If the study aims at comparing tissue performance—such as the effects of a treatment on a specific tissue, then normalization for tissue mass or protein content is appropriate. However, if the aim is to find differences in mitochondrial function independent of mitochondrial density (**Table 4**), then normalization to a mitochondrial marker is imperative (**Figure 6**). One cannot assume that quantitative changes in various markers—such as mitochondrial proteins—necessarily occur in parallel with one another. It should be established that the marker chosen is not selectively altered by the performed treatment. In conclusion, the normalization must reflect the question under investigation to reach a satisfying answer. On the other hand, the goal of comparing results across projects and institutions requires standardization on normalization for entry into a databank.

4.3.1. Mitochondrial concentration, C_{mtE} , and mitochondrial markers: Mitochondrial organelles compose a dynamic cellular reticulum in various states of fusion and fission. Hence, the definition of an ‘amount’ of mitochondria is often misconceived: mitochondria cannot be counted reliably as a number of occurring elementary components. Therefore, quantification of the amount of

1154 mitochondria depends on the measurement of chosen mitochondrial markers. “Mitochondria are the
 1155 structural and functional elementary units of cell respiration” (Gnaiger 2014). The quantity of a
 1156 mitochondrial marker can reflect the amount of *mitochondrial elementary components*, mtE , expressed
 1157 in various mitochondrial elementary units [mtEU] specific for each measured mt-marker (**Table 4**).
 1158 However, since mitochondrial quality may change in response to stimuli—particularly in mitochondrial
 1159 dysfunction (Campos *et al.* 2017) and after exercise training (Pesta *et al.* 2011) and during aging (Daum
 1160 *et al.* 2013)—some markers can vary while others are unchanged: (1) Mitochondrial volume and
 1161 membrane area are structural markers, whereas mitochondrial protein mass is commonly used as a
 1162 marker for isolated mitochondria. (2) Molecular and enzymatic mitochondrial markers (amounts or
 1163 activities) can be selected as matrix markers, *e.g.*, citrate synthase activity, mtDNA; mtIM-markers, *e.g.*,
 1164 cytochrome *c* oxidase activity, aa_3 content, cardiolipin, or mtOM-markers, *e.g.*, the voltage-dependent
 1165 anion channel (VDAC), TOM20. (3) Extending the measurement of mitochondrial marker enzyme
 1166 activity to mitochondrial pathway capacity, ET- or OXPHOS-capacity can be considered as an
 1167 integrative functional mitochondrial marker.

1168 Depending on the type of mitochondrial marker, the mitochondrial elementary component, mtE ,
 1169 is expressed in marker-specific units. Mitochondrial concentration in the measurement chamber and the
 1170 tissue of origin are quantified as (1) a quantity for normalization in functional analyses, C_{mtE} , and (2) a
 1171 physiological output that is the result of mitochondrial biogenesis and degradation, D_{mtE} , respectively
 1172 (**Table 4**). It is recommended, therefore, to distinguish *experimental mitochondrial concentration*, C_{mtE}
 1173 $= mtE/V$ and *physiological mitochondrial density*, $D_{mtE} = mtE/m_X$. Then mitochondrial density is the
 1174 amount of mitochondrial elementary components per mass of tissue, which is a biological variable
 1175 (**Figure 6**). The experimental variable is mitochondrial density multiplied by sample mass concentration
 1176 in the measuring chamber, $C_{mtE} = D_{mtE} \cdot C_{m_X}$, or mitochondrial content multiplied by sample number
 1177 concentration, $C_{mtE} = mtE_X \cdot C_{N_X}$ (**Table 4**).

1178 **4.3.2. mt-Marker-specific flux, $J_{O_2/mtE}$:** Volume-specific metabolic O_2 flux depends on: (1) the
 1179 sample concentration in the volume of the instrument chamber, C_{m_X} , or C_{N_X} ; (2) the mitochondrial
 1180 density in the sample, $D_{mtE} = mtE/m_X$ or $mtE_X = mtE/N_X$; and (3) the specific mitochondrial activity or
 1181 performance per elementary mitochondrial unit, $J_{O_2/mtE} = J_{V,O_2}/C_{mtE}$ [$\text{mol} \cdot \text{s}^{-1} \cdot \text{mtEU}^{-1}$] (**Table 4**).
 1182 Obviously, the numerical results for $J_{O_2/mtE}$ vary with the type of mitochondrial marker chosen for
 1183 measurement of mtE and $C_{mtE} = mtE/V$ [$\text{mtEU} \cdot \text{m}^{-3}$].

1184 Different methods are involved in the quantification of mitochondrial markers and have different
 1185 strengths. Some problems are common for all mitochondrial markers, mtE : (1) Accuracy of
 1186 measurement is crucial, since even a highly accurate and reproducible measurement of O_2 flux results
 1187 in an inaccurate and noisy expression if normalized by a biased and noisy measurement of a
 1188 mitochondrial marker. This problem is acute in mitochondrial respiration because the denominators used
 1189 (the mitochondrial markers) are often small moieties of which accurate and precise determination is
 1190 difficult. This problem can be avoided when O_2 fluxes measured in substrate-uncoupler-inhibitor
 1191 titration protocols are normalized for flux in a defined respiratory reference state, which is used as an
 1192 *internal* marker and yields flux control ratios, *FCRs*. *FCRs* are independent of externally measured
 1193 markers and, therefore, are statistically robust, considering the limitations of ratios in general (Jasienski
 1194 and Bazzaz 1999). *FCRs* indicate qualitative changes of mitochondrial respiratory control, with highest
 1195 quantitative resolution, separating the effect of mitochondrial density or concentration on J_{O_2/m_X} and
 1196 I_{O_2/N_X} from that of function per elementary mitochondrial marker, $J_{O_2/mtE}$ (Pesta *et al.* 2011; Gnaiger
 1197 2014). (2) If mitochondrial quality does not change and only the amount of mitochondria varies as a
 1198 determinant of mass-specific flux, any marker is equally qualified in principle; then in practice selection
 1199 of the optimum marker depends only on the accuracy and precision of measurement of the mitochondrial
 1200 marker. (3) If mitochondrial flux control ratios change, then there may not be any best mitochondrial
 1201 marker. In general, measurement of multiple mitochondrial markers enables a comparison and
 1202 evaluation of normalization for these mitochondrial markers. Particularly during postnatal development,
 1203 the activity of marker enzymes—such as cytochrome *c* oxidase and citrate synthase—follows different
 1204 time courses (Drahota *et al.* 2004). Evaluation of mitochondrial markers in healthy controls is
 1205 insufficient for providing guidelines for application in the diagnosis of pathological states and specific
 1206 treatments.

1207 In line with the concept of the respiratory control ratio (Chance and Williams 1955a), the most
 1208 readily used normalization is that of flux control ratios and flux control factors (Gnaiger 2014). Selection
 1209 of the state of maximum flux in a protocol as the reference state has the advantages of: (1) internal

1210 normalization; (2) statistically validated linearization of the response in the range of 0 to 1; and (3)
 1211 consideration of maximum flux for integrating a large number of elementary steps in the OXPHOS- or
 1212 ET-pathways. This reduces the risk of selecting a functional marker that is specifically altered by the
 1213 treatment or pathology, yet increases the chance that the highly integrative pathway is disproportionately
 1214 affected, *e.g.*, the OXPHOS- rather than ET-pathway in case of an enzymatic defect in the
 1215 phosphorylation-pathway. In this case, additional information can be obtained by reporting flux control
 1216 ratios based on a reference state that indicates stable tissue-mass specific flux.

1217 Stereological determination of mitochondrial content via two-dimensional transmission electron
 1218 microscopy can have limitations due to the dynamics of mitochondrial size (Meinild Lundby *et al.*
 1219 2017). Accurate determination of three-dimensional volume by two-dimensional microscopy can be
 1220 both time consuming and statistically challenging (Larsen *et al.* 2012).

1221 The validity of using mitochondrial marker enzymes (citrate synthase activity, CI to CIV amount
 1222 or activity) for normalization of flux is limited in part by the same factors that apply to flux control
 1223 ratios. Strong correlations between various mitochondrial markers and citrate synthase activity
 1224 (Reichmann *et al.* 1985; Boushel *et al.* 2007; Mogensen *et al.* 2007) are expected in a specific tissue of
 1225 healthy persons and in disease states not specifically targeting citrate synthase. Citrate synthase activity
 1226 is acutely modifiable by exercise (Tonkonogi *et al.* 1997; Leek *et al.* 2001). Evaluation of mitochondrial
 1227 markers related to a selected age and sex cohort cannot be extrapolated to provide recommendations for
 1228 normalization in respirometric diagnosis of disease, in different states of development and ageing,
 1229 different cell types, tissues, and species. mtDNA normalized to nDNA via qPCR is correlated to
 1230 functional mitochondrial markers including OXPHOS- and ET-capacity in some cases (Puntschart *et al.*
 1231 1995; Wang *et al.* 1999; Menshikova *et al.* 2006; Boushel *et al.* 2007; Ehinger *et al.* 2015), but lack of
 1232 such correlations have been reported (Menshikova *et al.* 2005; Schultz and Wiesner 2000; Pesta *et al.*
 1233 2011). Several studies indicate a strong correlation between cardiolipin content and increase in
 1234 mitochondrial function with exercise (Menshikova *et al.* 2005; Menshikova *et al.* 2007; Larsen *et al.*
 1235 2012; Faber *et al.* 2014), but it has not been evaluated as a general mitochondrial biomarker in disease.
 1236 With no single best mitochondrial marker, a good strategy is to quantify several different biomarkers to
 1237 minimize the decorrelating effects caused by diseases, treatments, or other factors. Determination of
 1238 multiple markers, particularly a matrix marker and a marker from the mtIM, allows tracking changes in
 1239 mitochondrial quality defined by their ratio.

1240 5. Normalization of rate per system

1241 5.1. Flow: per chamber

1242 The experimental system (experimental chamber) is part of the measurement instrument,
 1243 separated from the environment as an isolated, closed, open, isothermal or non-isothermal system
 1244 (Table 4). Reporting O₂ flows per respiratory chamber, I_{O_2} [nmol·s⁻¹], restricts the analysis to intra-
 1245 experimental comparison of relative differences.

1246 5.2. Flux: per chamber volume

1247 **5.2.1. System-specific flux, J_{V,O_2} :** We distinguish between (1) the *system* with volume V and mass
 1248 m defined by the system boundaries, and (2) the *sample* or *objects* with volume V_X and mass m_X that are
 1249 enclosed in the experimental chamber (Figure 6). Metabolic O₂ flow per object, I_{O_2/N_X} , is the total O₂
 1250 flow in the system divided by the number of objects, N_X , in the system. I_{O_2/N_X} increases as the mass of
 1251 the object is increased. Sample mass-specific O₂ flux, J_{O_2/m_X} should be independent of the mass of the
 1252 sample studied in the instrument chamber, but system volume-specific O₂ flux, J_{V,O_2} (per volume of the
 1253 instrument chamber), increases in proportion to the mass of the sample in the chamber. Although J_{V,O_2}
 1254 depends on mass-concentration of the sample in the chamber, it should be independent of the chamber
 1255 (system) volume at constant sample mass-concentration. There are practical limitations to increasing the
 1256 mass-concentration of the sample in the chamber, when one is concerned about crowding effects and
 1257 instrumental time resolution.

1258 **5.2.2. Advancement per volume:** When the reactor volume does not change during the reaction,
 1259 which is typical for liquid phase reactions, the volume-specific flux of a chemical reaction r is the time

1266 derivative of the advancement of the reaction per unit volume, $J_{V,rB} = d_r \zeta_B / dt \cdot V^{-1}$ [(mol·s⁻¹)·L⁻¹]. The *rate*
 1267 *of concentration change* is dc_B/dt [(mol·L⁻¹)·s⁻¹], where concentration is $c_B = n_B/V$. There is a difference
 1268 between (1) J_{V,rO_2} [mol·s⁻¹·L⁻¹] and (2) rate of concentration change [mol·L⁻¹·s⁻¹]. These merge into a
 1269 single expression only in closed systems. In open systems, internal transformations (catabolic flux, O₂
 1270 consumption) are distinguished from external flux (such as O₂ supply). External fluxes of all substances
 1271 are zero in closed systems. In a closed chamber O₂ consumption (internal flux of catabolic reactions k ;
 1272 I_{kO_2} [pmol·s⁻¹]) causes a decline in the amount of O₂ in the system, n_{O_2} [nmol]. Normalization of these
 1273 quantities for the volume of the system, V [L \equiv dm³], yields volume-specific O₂ flux, $J_{V,kO_2} = I_{kO_2}/V$
 1274 [nmol·s⁻¹·L⁻¹], and O₂ concentration, [O₂] or $c_{O_2} = n_{O_2}/V$ [μ mol·L⁻¹ = μ M = nmol·mL⁻¹]. Instrumental
 1275 background O₂ flux is due to external flux into a non-ideal closed respirometer, so total volume-specific
 1276 flux has to be corrected for instrumental background O₂ flux—O₂ diffusion into or out of the
 1277 instrumental chamber. J_{V,kO_2} is relevant mainly for methodological reasons and should be compared with
 1278 the accuracy of instrumental resolution of background-corrected flux, *e.g.*, ± 1 nmol·s⁻¹·L⁻¹ (Gnaiger
 1279 2001). ‘Catabolic’ indicates O₂ flux, J_{kO_2} , corrected for: (1) instrumental background O₂ flux; (2)
 1280 chemical background O₂ flux due to autoxidation of chemical components added to the incubation
 1281 medium; and (3) *Rox* for O₂-consuming side reactions unrelated to the catabolic pathway k .

1282
1283

1284 6. Conversion of units

1285

1286 Many different units have been used to report the O₂ consumption rate, OCR (Table 6). SI base
 1287 units provide the common reference to introduce the theoretical principles (Figure 6), and are used with
 1288 appropriately chosen SI prefixes to express numerical data in the most practical format, with an effort
 1289 towards unification within specific areas of application (Table 7). Reporting data in SI units—including
 1290 the mole [mol], coulomb [C], joule [J], and second [s]—should be encouraged, particularly by journals
 1291 that propose the use of SI units.

1292

1293 **Table 6. Conversion of various formats and units used in respirometry and**
 1294 **ergometry.** e^- is the number of electrons or reducing equivalents. z_B is the charge number
 1295 of entity B.
1296

Format	1 Unit		Multiplication factor	SI-unit	Notes
\underline{n}	ng.atom O·s ⁻¹	(2 e ⁻)	0.5	nmol O ₂ ·s ⁻¹	
\underline{n}	ng.atom O·min ⁻¹	(2 e ⁻)	8.33	pmol O ₂ ·s ⁻¹	
\underline{n}	natom O·min ⁻¹	(2 e ⁻)	8.33	pmol O ₂ ·s ⁻¹	
\underline{n}	nmol O ₂ ·min ⁻¹	(4 e ⁻)	16.67	pmol O ₂ ·s ⁻¹	
\underline{n}	nmol O ₂ ·h ⁻¹	(4 e ⁻)	0.2778	pmol O ₂ ·s ⁻¹	
\underline{V} to \underline{n}	mL O ₂ ·min ⁻¹ at STPD ^a		0.744	μ mol O ₂ ·s ⁻¹	1
\underline{e} to \underline{n}	W = J/s at -470 kJ/mol O ₂		-2.128	μ mol O ₂ ·s ⁻¹	
\underline{e} to \underline{n}	mA = mC·s ⁻¹	($z_{H^+} = 1$)	10.36	nmol H ⁺ ·s ⁻¹	2
\underline{e} to \underline{n}	mA = mC·s ⁻¹	($z_{O_2} = 4$)	2.59	nmol O ₂ ·s ⁻¹	2
\underline{n} to \underline{e}	nmol H ⁺ ·s ⁻¹	($z_{H^+} = 1$)	0.09649	mA	3
\underline{n} to \underline{e}	nmol O ₂ ·s ⁻¹	($z_{O_2} = 4$)	0.38594	mA	3

1297 1 At standard temperature and pressure dry (STPD: 0 °C = 273.15 K and 1 atm = 101.325 kPa =
 1298 760 mmHg), the molar volume of an ideal gas, V_m , and V_{m,O_2} is 22.414 and 22.392 L·mol⁻¹,
 1299 respectively. Rounded to three decimal places, both values yield the conversion factor of 0.744.
 1300 For comparison at normal temperature and pressure dry (NTPD: 20 °C), V_{m,O_2} is 24.038 L·mol⁻¹.
 1301 Note that the SI standard pressure is 100 kPa.

1302 2 The multiplication factor is $10^6/(z_B \cdot F)$.

1303 3 The multiplication factor is $z_B \cdot F/10^6$.

1304

1305 **Table 7. Conversion of units with preservation of numerical values.**

Name	Frequently used unit	Equivalent unit	Notes
volume-specific flux, J_{V,O_2}	$\text{pmol}\cdot\text{s}^{-1}\cdot\text{mL}^{-1}$	$\text{nmol}\cdot\text{s}^{-1}\cdot\text{L}^{-1}$	1
cell-specific flow, $I_{O_2/\text{cell}}$	$\text{mmol}\cdot\text{s}^{-1}\cdot\text{L}^{-1}$	$\text{mol}\cdot\text{s}^{-1}\cdot\text{m}^{-3}$	
	$\text{pmol}\cdot\text{s}^{-1}\cdot 10^{-6}$ cells	$\text{amol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$	2
cell number concentration, C_{Nce}	$\text{pmol}\cdot\text{s}^{-1}\cdot 10^{-9}$ cells	$\text{zmol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$	3
	10^6 cells $\cdot\text{mL}^{-1}$	10^9 cells $\cdot\text{L}^{-1}$	
mitochondrial protein concentration, C_{mtE}	0.1 mg $\cdot\text{mL}^{-1}$	0.1 g $\cdot\text{L}^{-1}$	
mass-specific flux, $J_{O_2/m}$	$\text{pmol}\cdot\text{s}^{-1}\cdot\text{mg}^{-1}$	$\text{nmol}\cdot\text{s}^{-1}\cdot\text{g}^{-1}$	4
catabolic power, P_k	$\mu\text{W}\cdot 10^{-6}$ cells	$\text{pW}\cdot\text{cell}^{-1}$	1
volume	1,000 L	m^3 (1,000 kg)	
	L	dm^3 (kg)	
	mL	cm^3 (g)	
	μL	mm^3 (mg)	
	fL	μm^3 (pg)	5
amount of substance concentration	$\text{M} = \text{mol}\cdot\text{L}^{-1}$	$\text{mol}\cdot\text{dm}^{-3}$	

1306 1 pmol: picomole = 10^{-12} mol1307 2 amol: attomole = 10^{-18} mol1308 3 zmol: zeptomole = 10^{-21} mol

1309

1310 Although volume is expressed as m^3 using the SI base unit, the litre [dm^3] is a conventional unit
 1311 of volume for concentration and is used for most solution chemical kinetics. If one multiplies $I_{O_2/Nce}$ by
 1312 C_{Nce} , then the result will not only be the amount of O_2 [mol] consumed per time [s^{-1}] in one litre [L^{-1}],
 1313 but also the change in O_2 concentration per second (for any volume of an ideally closed system). This
 1314 is ideal for kinetic modeling as it blends with chemical rate equations where concentrations are typically
 1315 expressed in $\text{mol}\cdot\text{L}^{-1}$ (Wagner *et al.* 2011). In studies of multinuclear cells—such as differentiated
 1316 skeletal muscle cells—it is easy to determine the number of nuclei but not the total number of cells. A
 1317 generalized concept, therefore, is obtained by substituting cells by nuclei as the sample entity. This does
 1318 not hold, however, for non-nucleated platelets.

1319 For studies of cells, we recommend that respiration be expressed, as far as possible, as: (1) O_2
 1320 flux normalized for a mitochondrial marker, for separation of the effects of mitochondrial quality and
 1321 content on cell respiration (this includes $FCRs$ as a normalization for a functional mitochondrial
 1322 marker); (2) O_2 flux in units of cell volume or mass, for comparison of respiration of cells with different
 1323 cell size (Renner *et al.* 2003) and with studies on tissue preparations, and (3) O_2 flow in units of attomole
 1324 (10^{-18} mol) of O_2 consumed per second by each cell [$\text{amol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$], numerically equivalent to
 1325 [$\text{pmol}\cdot\text{s}^{-1}\cdot 10^{-6}$ cells]. This convention allows information to be easily used when designing experiments
 1326 in which O_2 flow must be considered. For example, to estimate the volume-specific O_2 flux in an
 1327 instrument chamber that would be expected at a particular cell number concentration, one simply needs
 1328 to multiply the flow per cell by the number of cells per volume of interest. This provides the amount of
 1329 O_2 [mol] consumed per time [s^{-1}] per unit volume [L^{-1}]. At an O_2 flow of 100 $\text{amol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$ and a cell
 1330 density of 10^9 cells $\cdot\text{L}^{-1}$ (10^6 cells $\cdot\text{mL}^{-1}$), the volume-specific O_2 flux is 100 $\text{nmol}\cdot\text{s}^{-1}\cdot\text{L}^{-1}$ (100
 1331 $\text{pmol}\cdot\text{s}^{-1}\cdot\text{mL}^{-1}$).

1332 ET-capacity in human cell types including HEK 293, primary HUVEC, and fibroblasts ranges
 1333 from 50 to 180 $\text{amol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$, measured in intact cells in the noncoupled state (see Gnaiger 2014). At
 1334 100 $\text{amol}\cdot\text{s}^{-1}\cdot\text{cell}^{-1}$ corrected for Rox , the current across the mt-membranes, I_{H+e} , approximates 193
 1335 $\text{pA}\cdot\text{cell}^{-1}$ or 0.2 nA per cell. See Rich (2003) for an extension of quantitative bioenergetics from the
 1336 molecular to the human scale, with a transmembrane proton flux equivalent to 520 A in an adult at a
 1337 catabolic power of -110 W. Modelling approaches illustrate the link between protonmotive force and
 1338 currents (Willis *et al.* 2016).

1339 We consider isolated mitochondria as powerhouses and proton pumps as molecular machines to
 1340 relate experimental results to energy metabolism of the intact cell. The cellular P_{\gg/O_2} based on oxidation

of glycogen is increased by the glycolytic (fermentative) substrate-level phosphorylation of 3 P_»/Glyc or 0.5 mol P_» for each mol O₂ consumed in the complete oxidation of a mol glycosyl unit (Glyc). Adding 0.5 to the mitochondrial P_»/O₂ ratio of 5.4 yields a bioenergetic cell physiological P_»/O₂ ratio close to 6. Two NADH equivalents are formed during glycolysis and transported from the cytosol into the mitochondrial matrix, either by the malate-aspartate shuttle or by the glycerophosphate shuttle (**Figure 2A**) resulting in different theoretical yields of ATP generated by mitochondria, the energetic cost of which potentially must be taken into account. Considering also substrate-level phosphorylation in the TCA cycle, this high P_»/O₂ ratio not only reflects proton translocation and OXPHOS studied in isolation, but integrates mitochondrial physiology with energy transformation in the living cell (Gnaiger 1993a).

7. Conclusions

Catabolic cell respiration is the process of exergonic and exothermic energy transformation in which scalar redox reactions are coupled to vectorial ion translocation across a semipermeable membrane, which separates the small volume of a bacterial cell or mitochondrion from the larger volume of its surroundings. The electrochemical exergy can be partially conserved in the phosphorylation of ADP to ATP or in ion pumping, or dissipated in an electrochemical short-circuit. Respiration is thus clearly distinguished from fermentation as the counterpart of cellular core energy metabolism. An O₂ flux balance scheme illustrates the relationships and general definitions (**Figures 1 and 2**).

Box 3: Recommendations for studies with mitochondrial preparations

- Normalization of respiratory rates should be provided as far as possible:
 1. *Biophysical normalization*: on a per cell basis as O₂ flow; this may not be possible when dealing with coenocytic organisms, *e.g.*, filamentous fungi, or tissues without cross-walls separating individual cells, *e.g.*, muscle fibers.
 2. *Cellular normalization*: per g protein; per cell- or tissue-mass as mass-specific O₂ flux; per cell volume as cell volume-specific flux.
 3. *Mitochondrial normalization*: per mitochondrial marker as mt-specific flux.
- With information on cell size and the use of multiple normalizations, maximum potential information is available (Renner *et al.* 2003; Wagner *et al.* 2011; Gnaiger 2014). Reporting flow in a respiratory chamber [nmol·s⁻¹] is discouraged, since it restricts the analysis to intra-experimental comparison of relative (qualitative) differences.
- Catabolic mitochondrial respiration is distinguished from residual O₂ consumption. Fluxes in mitochondrial coupling states should be, as far as possible, corrected for residual O₂ consumption.
- Different mechanisms of uncoupling should be distinguished by defined terms. The tightness of coupling relates to these uncoupling mechanisms, whereas the coupling stoichiometry varies as a function the substrate type involved in ET-pathways with either three or two redox proton pumps operating in series. Separation of tightness of coupling from the pathway-dependent coupling stoichiometry is possible only when the substrate type undergoing oxidation remains the same for respiration in LEAK-, OXPHOS-, and ET-states. In studies of the tightness of coupling, therefore, simple substrate-inhibitor combinations should be applied to exclude a shift in substrate competition that may occur when providing physiological substrate cocktails.
- In studies of isolated mitochondria, the mitochondrial recovery and yield should be reported. Experimental criteria such as transmission electron microscopy for evaluation of purity versus integrity should be considered. Mitochondrial markers—such as citrate synthase activity as an enzymatic matrix marker—provide a link to the tissue of origin on the basis of calculating the mitochondrial recovery, *i.e.*, the fraction of mitochondrial marker obtained from a unit mass of tissue. Total mitochondrial protein is frequently applied as a mitochondrial marker, which is restricted to isolated mitochondria.
- In studies of permeabilized cells, the viability of the cell culture or cell suspension of origin should be reported. Normalization should be evaluated for total cell count or viable cell count.
- Terms and symbols are summarized in **Table 8**. Their use will facilitate transdisciplinary communication and support further development of a consistent theory of bioenergetics and mitochondrial physiology. Technical terms related to and defined with normal words can be used as

1397 index terms in databases, support the creation of ontologies towards semantic information processing
 1398 (MitoPedia), and help in communicating analytical findings as impactful data-driven stories.
 1399 ‘Making data available without making it understandable may be worse than not making it available
 1400 at all’ (National Academies of Sciences, Engineering, and Medicine 2018). Success will depend on
 1401 taking further steps: (1) exhaustive text-mining considering Omics data and functional data; (2)
 1402 network analysis of Omics data with bioinformatics tools; (3) cross-validation with distinct
 1403 bioinformatics approaches; (4) correlation with functional data; (5) guidelines for biological
 1404 validation of network data. This is a call to carefully contribute to FAIR principles (Findable,
 1405 Accessible, Interoperable, Reusable) for the sharing of scientific data.

1407
 1408 **Table 8. Terms, symbols, and units.**

1411 1412	Term	Symbol	Unit	Links and comments
1413	1414 alternative quinol oxidase	AOX		Figure 2B
1415	adenosine monophosphate	AMP		2 ADP ↔ ATP+AMP
1416	adenosine diphosphate	ADP		Table 1, Figures 2 and 5
1417	adenosine triphosphate	ATP		Figures 2 and 5
1418	adenylates	AMP, ADP, ATP		Section 2.5.1
1419	amount of substance B	n_B	[mol]	
1420	ATP yield per O ₂	$Y_{P\gg/O_2}$		P _{gg} /O ₂ ratio measured in any respiratory state
1421				Figures 1 and 3
1422	catabolic reaction	k		Figures 1 and 3
1423	catabolic respiration	J_{kO_2}	<i>varies</i>	Figures 1 and 3
1424	cell number	N_{ce}	[x]	$N_{ce} = N_{vce} + N_{dce}$; Table 5
1425	cell respiration	J_{rO_2}	<i>varies</i>	Figure 1
1426	cell viability index	VI		$VI = N_{vce}/N_{ce} = 1 - N_{dce}/N_{ce}$
1427	charge number of entity B	z_B		Table 6; $z_{O_2} = 4$
1428	Complexes I to IV	CI to CIV		respiratory ET Complexes; Figure 2B
1429				Box 2
1430	concentration of substance B	$c_B = n_B \cdot V^{-1}$; [B]	[mol·m ⁻³]	Section 2.4.1
1431	coupling control state	CCS		non-viable cells, loss of plasma membrane barrier function; Table 5
1432	dead cell number	N_{dce}	[x]	Table 6
1433				state; Figures 2B and 4
1434	electric format	e	[C]	Table 1, Figures 2B and 4; State 3u
1435	electron transfer system	ETS		Table 1, Figure 4
1436	ET state	ET		system-related extensive quantity; Figure 6
1437	ET-capacity	E	<i>varies</i>	size-specific quantity; Figure 6
1438	flow, for substance B	I_B	[mol·s ⁻¹]	Figure 2C
1439				Figure 2C
1440	flux, for substance B	J_B	<i>varies</i>	viable cells, intact plasma membrane barrier function; Table 5
1441	inorganic phosphate	P _i		state; Table 1, Figure 4; compare State 4
1442	inorganic phosphate carrier	PiC		Table 1; Figure 4
1443	intact cell number,			Table 4, Figure 6
1444	viable cell number	N_{vce}	[x]	Table 4
1445				mass of sample X; Figure 6 (frequently called dry weight)
1446	LEAK state	LEAK		mass of sample X; Figure 6 (frequently called wet weight)
1447				
1448	LEAK-respiration	L	<i>varies</i>	
1449	mass format	m	[kg]	
1450	mass of sample X	m_X	[kg]	
1451	mass, dry mass	m_d	[kg]	
1452				
1453	mass, wet mass	m_w	[kg]	
1454				

1455	mass of object X	$M_X = m_X \cdot N_X^{-1}$	$[\text{kg} \cdot \text{x}^{-1}]$	mass of entity X ; Table 4
1456	MITOCARTA			https://www.broadinstitute.org/scientific-community/science/programs/metabolic-disease-program/publications/mitocarta/mitocarta-in-0
1457				
1458				
1459				
1460				
1461	MitoPedia			http://www.bioblast.at/index.php/MitoPedia
1462	mitochondria or mitochondrial	mt		Box 1
1463	mitochondrial DNA	mtDNA		Box 1
1464	mitochondrial concentration	$C_{mtE} = mtE \cdot V^{-1}$	$[\text{mtEU} \cdot \text{m}^{-3}]$	Table 4
1465	mitochondrial content	mtE_X	$[\text{mtEU} \cdot \text{x}^{-1}]$	$mtE_X = mtE \cdot N_X^{-1}$; Table 4
1466	mitochondrial			
1467	elementary component	mtE	$[\text{mtEU}]$	quantity of mt-marker; Table 4
1468	mitochondrial elementary unit	mtEU	<i>varies</i>	specific units for mt-marker; Table 4
1469	mitochondrial inner membrane	mtIM		MIM is widely used; the first M is replaced by mt; Figure 2; Box 1
1470				
1471	mitochondrial outer membrane	mtOM		MOM is widely used; the first M is replaced by mt; Figure 2; Box 1
1472				
1473	mitochondrial recovery	Y_{mtE}		fraction of mtE recovered in sample from the tissue of origin
1474				
1475	mitochondrial yield	$Y_{mtE/\underline{m}}$		mt-yield per tissues mass; $Y_{mtE/\underline{m}} = Y_{mtE} \cdot D_{mtE}$
1476				
1477	molar format	\underline{n}	$[\text{mol}]$	Table 6
1478	negative	neg		Figure 4
1479	number concentration of X	C_{NX}	$[\text{x} \cdot \text{m}^{-3}]$	Table 4
1480	number format	\underline{N}	$[\text{x}]$	Table 4, Figure 6
1481	number of entities X	N_X	$[\text{x}]$	Table 4, Figure 6
1482	number of entity B	N_B	$[\text{x}]$	Table 4
1483	oxidative phosphorylation	OXPHOS		state; Table 1, Figure 4
1484	OXPHOS state	OXPHOS		Table 1; State 3 if $[\text{ADP}]$ and $[\text{P}_i]$ are saturating
1485				
1486	OXPHOS-capacity	P	<i>varies</i>	Table 1, Figure 4
1487	oxygen concentration	$c_{\text{O}_2} = n_{\text{O}_2} \cdot V^{-1}$	$[\text{mol} \cdot \text{m}^{-3}]$	$[\text{O}_2]$; Section 3.2
1488	oxygen flux, in reaction r	$J_{r\text{O}_2}$	<i>varies</i>	Figure 1
1489	pathway control state	PCS		Section 2.2
1490	permeabilized cell number	N_{pce}	$[\text{x}]$	experimental permeabilization of plasma membrane; Table 5
1491				
1492	phosphorylation of ADP to ATP	P_{\gg}		Section 2.2
1493	P_{\gg}/O_2 ratio	P_{\gg}/O_2		mechanistic Y_{P_{\gg}/O_2} , calculated from pump stoichiometries; Figure 2B
1494				
1495	positive	pos		Figure 4
1496	proton in the negative compartment	H^+_{neg}		Figure 4
1497	proton in the positive compartment	H^+_{pos}		Figure 4
1498	protonmotive force	pmf	$[\text{V}]$	Figures 1, 2A and 4; Table 1
1499	rate of electron transfer in ET state	E	<i>varies</i>	ET-capacity; Table 1
1500	rate of LEAK-respiration	L	<i>varies</i>	Table 1
1501	rate of oxidative phosphorylation	P	<i>varies</i>	OXPHOS-capacity; Table 1
1502	rate of residual oxygen consumption	R_{ox}		Table 1, Figure 1
1503	residual oxygen consumption	ROX		state; Table 1
1504	respiratory supercomplex	$\text{SC I}_n\text{III}_n\text{IV}_n$		supramolecular assemblies composed of variable copy numbers (n) of CI, CIII and CIV; Box 1
1505				
1506				
1507	specific mitochondrial density	$D_{mtE} = mtE \cdot m_X^{-1}$	$[\text{mtEU} \cdot \text{kg}^{-1}]$	Table 4
1508	substrate-uncoupler-inhibitor-titration protocol	SUIT		Section 2.2
1509				
1510	volume	V	$[\text{m}^{-3}]$	Table 7

1512
1513
1514 Experimentally, respiration is separated in mitochondrial preparations from the interactions with
1515 the fermentative pathways of the intact cell. OXPHOS analysis is based on the study of mitochondrial
1516 preparations complementary to bioenergetic investigations of intact cells and organisms—from model
1517 organisms to the human species including healthy and diseased persons (patients). Different mechanisms
1518 of respiratory uncoupling have to be distinguished (**Figure 3**). Metabolic fluxes measured in defined
1519 coupling and pathway control states (**Figures 5 and 6**) provide insights into the meaning of cellular and
1520 organismic respiration.

1521 The optimal choice for expressing mitochondrial and cell respiration as O_2 flow per biological
1522 sample, and normalization for specific tissue-markers (volume, mass, protein) and mitochondrial
1523 markers (volume, protein, content, mtDNA, activity of marker enzymes, respiratory reference state) is
1524 guided by the scientific question under study. Interpretation of the data depends critically on appropriate
1525 normalization (**Figure 6**).

1526 MitoEAGLE can serve as a gateway to better diagnose mitochondrial respiratory adaptations and
1527 defects linked to genetic variation, age-related health risks, sex-specific mitochondrial performance,
1528 lifestyle with its effects on degenerative diseases, and thermal and chemical environment. The present
1529 recommendations on coupling control states and rates, linked to the concept of the protonmotive force,
1530 are focused on studies using mitochondrial preparations (**Box 3**). These will be extended in a series of
1531 reports on pathway control of mitochondrial respiration, respiratory states in intact cells, and
1532 harmonization of experimental procedures.

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1537
1538
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1822 Supplement

1823 S1. Manuscript phases and versions - an open-access approach

1824
 1825 This manuscript on ‘Mitochondrial respiratory states and rates’ is a position statement in the frame of COST Action
 1826 CA15203 MitoEAGLE. The list of co-authors evolved beyond phase 1 in the bottom-up spirit of COST.

1827 The global MitoEAGLE network made it possible to collaborate with a large number of co-authors to reach
 1828 consensus on the present manuscript. Nevertheless, we do not consider scientific progress to be supported by
 1829 ‘declaration’ statements (other than on ethical or political issues). Our manuscript aims at providing arguments for
 1830 further debate rather than pushing opinions. We hope to initiate a much broader process of discussion and want to
 1831 raise the awareness of the importance of a consistent terminology for reporting of scientific data in the field of
 1832 bioenergetics, mitochondrial physiology and pathology. Quality of research requires quality of communication.
 1833 Some established researchers in the field may not want to re-consider the use of jargon which has become
 1834 established despite deficiencies of accuracy and meaning. In the long run, superior standards will become accepted.
 1835 We hope to contribute to this evolutionary process, with an emphasis on harmonization rather than standardization.

1836 *Phase 1* The protonmotive force and respiratory control

1837 http://www.mitoeagle.org/index.php/The_protonmotive_force_and_respiratory_control

- 1839 • 2017-04-09 to 2017-09-18 (44 versions)
- 1840 • 2017-09-21 to 2018-02-06 (44+21 versions)

1841 http://www.mitoeagle.org/index.php/MitoEAGLE_preprint_2017-09-21

1842 2017-11-11: Print version (16) for MiP2017/MitoEAGLE conference in Hradec Kralove

1843 *Phase 2* Mitochondrial respiratory states and rates: Building blocks of mitochondrial physiology Part 1

1844 http://www.mitoeagle.org/index.php/MitoEAGLE_preprint_2018-02-08

- 1845 • 2018-02-08 – (44+45 Versions up to 2018-10-25)

1846 *Phase 3* Submission to a preprint server: [BioRxiv](https://www.biorxiv.org/)

1847 *Phase 4* Journal submission

1848 CELL METABOLISM, aiming at indexing by *The Web of Science* and *PubMed*.

1849
 1850

1851 S2. Authors

1852
 1853 This manuscript developed as an open invitation to scientists and students to join as co-authors, to provide a
 1854 balanced view of mitochondrial respiratory control and a consensus statement on reporting data of mitochondrial
 1855 respiration in terms of metabolic flows and fluxes.

1856 Co-authors are added in alphabetical order based upon a first draft written by the corresponding author,
 1857 who edited all versions. *Co-authors confirm that they have read the final manuscript, possibly have made additions
 1858 or suggestions for improvement, and agree to implement the recommendations into future manuscripts,
 1859 presentations and teaching materials.*

1860 We continue to invite comments and suggestions, particularly if you are an early career investigator adding
 1861 an open future-oriented perspective, or an established scientist providing a balanced historical basis. Your critical
 1862 input into the quality of the manuscript will be most welcome, improving our aims to be educational, general,
 1863 consensus-oriented, and in practice be helpful to students working in mitochondrial respiratory physiology.

1864 To join as a co-author, please feel free to focus on a particular section, providing direct input and references,
 1865 and contributing to the scope of the manuscript from the perspective of your expertise. Your comments will be
 1866 largely posted on the discussion page of the MitoEAGLE preprint website.

1867 If you prefer to submit comments in the format of a referee's evaluation rather than a contribution as a co-
 1868 author, we will be glad to distribute your views to the updated list of co-authors for a balanced response. We would
 1869 ask for your consent on this open bottom-up policy.

1870

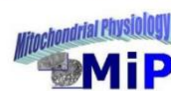
1871 S3. Joining COST Actions

- 1872 • CA15203 MitoEAGLE - http://www.cost.eu/COST_Actions/ca/CA15203
- 1873 • CA16225 EU-CARDIOPROTECTION - http://www.cost.eu/COST_Actions/ca/CA16225
- 1874 • CA17129 CardioRNA - http://www.cost.eu/COST_Actions/ca/CA17129

1875



Mitochondrial respiratory states and rates:



Building blocks of mitochondrial physiology

Part 1 - www.mitoeagle.org/index.php/MitoEAGLE_preprint_2018-02-08

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Aims Clarity of concept and consistency of nomenclature facilitate effective transdisciplinary communication, education, and ultimately further discovery.

Adhering to uniform standards and harmonizing the terminology concerning mitochondrial respiratory states and rates will support the development of databases of mitochondrial respiratory function in cells, tissues, and species.

Summary Recommendations on coupling control states and rates are focused on studies with mitochondrial preparations.

Fig. 1: Respiration is defined by O₂ flux balance.
Fig. 2: OXPHOS analysis is based on the study of mt- preparations. Metabolic fluxes measured in defined coupling and pathway control states provide insights into the meaning of cellular respiration.
Fig. 3: Interpretation of respiratory rates depends critically on appropriate normalization.

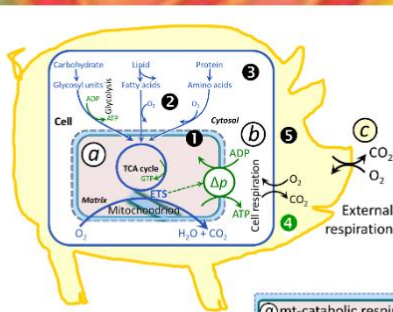
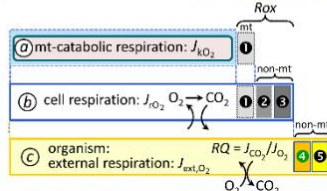


Figure 1. From mitochondrial to external respiration

Mitochondrial (mt) respiration is the oxidation of fuel substrates (electron donors) and reduction of O₂ catalysed by the electron transfer system, ETS:

- a** mt-catabolic respiration, excluding
- 1** mt-residual oxygen consumption, *Rox*.
- b** Total cellular O₂ consumption, including mt-*Rox*, **e** non-mt catabolic *Rox*, particularly by peroxisomal oxidases, and **e** non-mt *Rox* unrelated to catabolism.
- c** External respiration, including **1** aerobic microbial respiration, and **e** extracellular O₂ consumption.



MIPart by Odra Noel

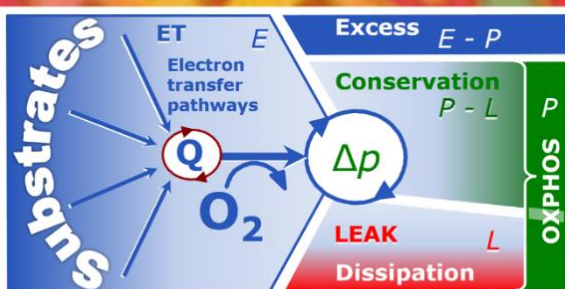


Figure 2. Respiratory states (ET, OXPHOS, LEAK) and corresponding rates (E, P, L)

Table 1. Coupling states and residual oxygen consumption in mitochondrial preparations in relation to respiration- and phosphorylation-flux, J_{kO_2} and J_{pO_2} , and protonmotive force, Δp . Coupling states are established at kinetically-saturating concentrations of fuel substrates and O₂.

State	J_{kO_2}	J_{pO_2}	Δp	Inducing factors	Limiting factors
LEAK	L ; low, cation leak-dependent respiration	0	max.	proton leak, slip, and cation cycling	$J_{pO_2} = 0$: (1) without ADP, L_{SC} ; (2) max. ATP/ADP ratio, L_r ; or (3) inhibition of the phosphorylation-pathway, L_{Oxy}
OXPHOS	P ; high, ADP-stimulated respiration	max.	high	kinetically-saturating [ADP] and [P _i]	J_{kO_2} by phosphorylation-pathway; or J_{kO_2} by ET-capacity
ET	E ; max., noncoupled respiration	0	low	optimal external uncoupler concentration for max. $J_{O_{2,E}}$	J_{kO_2} by ET-capacity
ROX	Rox ; min., residual O ₂ consumption	0	0	$J_{O_{2,Rox}}$ in non-ET-pathway oxidation reactions	inhibition of all ET-pathways; or absence of fuel substrates

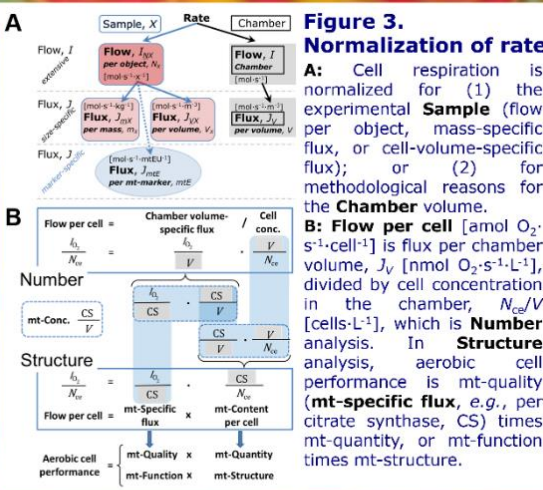


Figure 3. Normalization of rate

A: Cell respiration is normalized for (1) the experimental **Sample** (flow per object, mass-specific flux); or (2) for methodological reasons for the **Chamber** volume.
B: **Flow per cell** [$\text{amol O}_2 \cdot \text{s}^{-1} \cdot \text{cell}^{-1}$] is flux per chamber volume, J_V [$\text{nmol O}_2 \cdot \text{s}^{-1} \cdot \text{L}^{-1}$], divided by cell concentration in the chamber, N_{ce}/V [$\text{cells} \cdot \text{L}^{-1}$], which is **Number** analysis. In **Structure** analysis, aerobic cell performance is mt-quality (**mt-specific flux**, e.g., per citrate synthase, CS) times mt-quantity, or mt-function times mt-structure.



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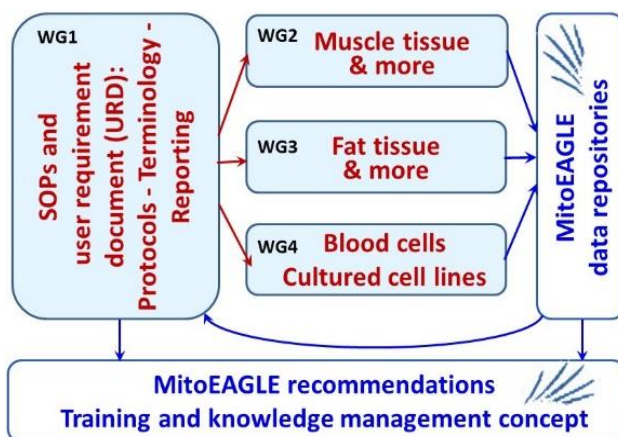
Evolution Age Gender
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Mission of the global MitoEAGLE network

in collaboration with the Mitochondrial Physiology Society, MiPs

- Improve our knowledge on mitochondrial function in health and disease with regard to Evolution, Age, Gender, Lifestyle and Environment
- Interrelate studies across laboratories with the help of a MitoEAGLE data management system
- Provide standardized measures to link mitochondrial and physiological performance to understand the myriad of factors that play a role in mitochondrial physiology



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